

# What is electron?

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# History

1600 *De Magnete* (William Gilbert): new *L. electricus*,  
*L.* < *electrum*, *Gk.* < ἤλεκτρον, **amber**.



1838 Richard Laming:

Atom = core matter +  $\sum_{\text{surrounding}}$  (unit electric charge).

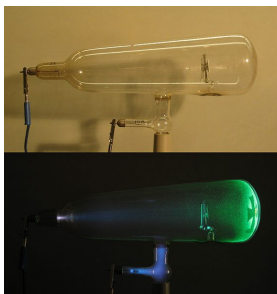
1846 William Weber: Electricity =  $\sum$  fluid<sup>(+)</sup> +  $\sum$  fluid<sup>(-)</sup>.

1881 Hermann von Helmholtz: “behaves like atoms of electricity.”

1891 George Johnstone Stoney: *electron* = *electr(ic)* + (*i*)on.

# Discovery

Crookes tube:



1869 Johann Wilhelm Hittorf: A glow emitted from the cathode.

1876 Eugen Goldstein: Cathode rays.

1870 Sir William Crookes: The luminescence rays comes from the cathod rays which

- ▶ carried energy,
- ▶ moved from cathod to anode, and
- ▶ bent in magnetic field as negative charged.

1890 Arthur Schuster: The **charge-to-mass ratio**,  $e/m$

# Discovery

- 1892 Hendrik Antoon Lorentz: mass  $\Leftarrow$  electric charge.
- 1896 J. J. Thomson with John S. Townsend and H. A. Wilson:  $e/m$  was independent of cathode material.
- 1896 George F. Fitzgerald: The universality of  $e/m$  and again proposed the name **electron**.
- 1896 Henri Becquerel: Radioactivity.
- 1896 Ernest Rutherford designated the radioactive particles, alpha ( $\alpha$ ) and beta ( $\beta$ ).
- 1900 Becquerel: The  $\beta$ -rays have the same  $e/m$  as electrons.
- 1909 Robert Millikan and Harvey Fletcher: The oil-drop experiments (published in 1911).
- 1913 Abram Ioffe confirmed the Millikan's experiments.

# Fundamental properties

**Mass:**  $m = 9.109 \times 10^{-31} \text{ kg} = 0.511 \text{ MeV}/c^2$ ,  
where  $c = 2.998 \times 10^8 \text{ m/s}$ .

**Charge:**  $e = -1.602 \times 10^{-19} \text{ C}$

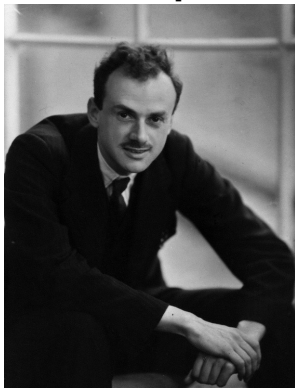
**Spin:** Intrinsic spin angular momentum with

- ▶  $S^2 = s(s+1)\hbar^2$ , the square of the spin magnitude, where  $s = \pm\frac{1}{2}$  and  $\hbar = \frac{h}{2\pi} = 1.0546 \times 10^{-34} \text{ Js}$ .
- ▶  $\mu = -g\mu_B s$ , the spin magnetic moment, where  $\mu_B = \frac{e\hbar}{2mc} = 0.927 \times 10^{-20} \text{ emu}$  and  $g$  is the *Landé g-factor*, for free-electron  $g = 2.0023$ .

**Size:** A point particle, no larger than  $10^{-22} \text{ m}$ ,

- ▶  $r_e = \frac{\alpha\hbar}{mc} = 2.818 \times 10^{-15} \text{ m}$ , the classical electron radius, where  $\alpha = \frac{e^2}{4\pi\hbar c} = \frac{1}{137.04} = 0.00730$ , the fine structure constant.
- ▶  $\lambda_C = \frac{\hbar}{mc} = 3.862 \times 10^{-13} \text{ m}$ , the electron Compton wavelength.

# Free relativistic quantum fields



A theory with mathematical beauty is more likely to be correct than an ugly one that fits some experimental data. – P. A. M. Dirac

# The Schrödinger equation

The time development of a physical system is expressed by the Schrödinger equation

$$i\hbar \frac{\partial \psi}{\partial t} = H\psi$$

where the Hamiltonian  $H$  is a linear Hermitian operator. For an isolated free particle, the Hamiltonian is

$$H = \frac{p^2}{2m}$$

and the quantum mechanical transcriptions are

$$H \rightarrow i\hbar \frac{\partial}{\partial t}, \quad \mathbf{p} \rightarrow \frac{\hbar}{i} \nabla$$

leads to a *relativistically incorrect* equation

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \psi.$$



# Systems of units

It is convenient to introduce the *natural unit* system for describing relativistic theories.

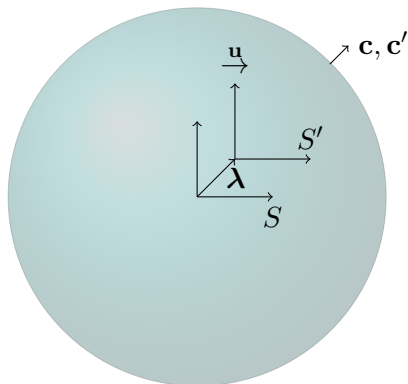
- ▶ The natural unit system is defined by the constants  $c = \hbar = 1$ .
  - ▶ In this system,

$$[\text{length}] = [\text{time}] = [\text{energy}]^{-1} = [\text{mass}]^{-1}.$$

- ▶ The mass of a particle is equal to the rest energy ( $mc^2$ ) and to its inverse Compton wavelength ( $mc/\hbar$ ).
- ▶ The thermal unit system is the same as the natural unit system with the additional Boltzmann constant  $k_B = 1$ .
  - ▶ In this system,  $[\text{energy}] = [\text{temperature}]$ .
  - ▶ Especially,  $1 \text{ eV} = 11605 \text{ K}$ .
- ▶ The atomic Hartree unit system is defined by the constants  $\hbar = e^2 = m = 1$ , but  $c = \alpha^{-1}$ .
- ▶ The atomic Rydberg unit system is the same as the atomic Hartree unit system, but  $2e^2 = 1$ .

# Special theory of relativity

Einstein concluded that the Maxwell's equations are correct.



So *every* physical law has to satisfy the condition  $c = c'$ .

The corresponding space-time transformation group is called

- ▶ Homogeneous Lorentz group if  $\lambda = 0$ ,
- ▶ Poincaré group or inhomogeneous Lorentz group if  $\lambda \neq 0$ .

## Relativistic notions

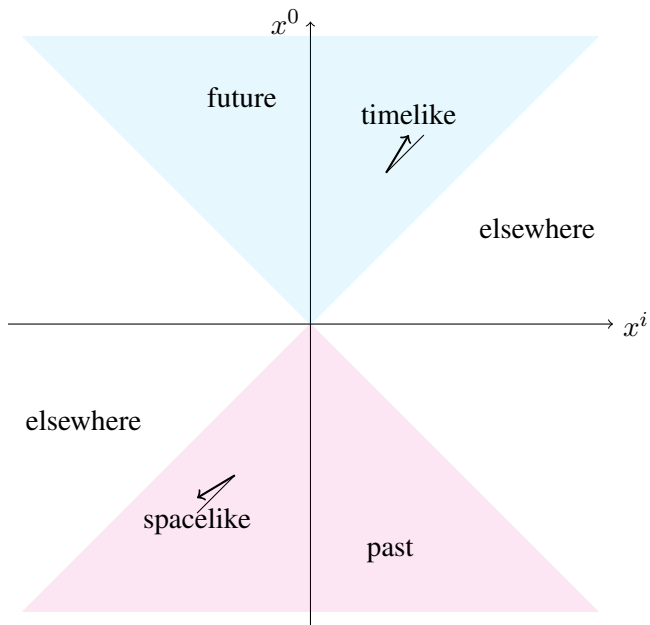
- ▶  $x$  is the four-vector of space and time.
- ▶  $x^\mu$  ( $\mu = 0, 1, 2, 3$ ) are the *contravariant* components of this vector.
- ▶  $x_\mu$  are the *covariant* components effected by the Minkowski metric tensor,

$$g_{\mu\nu} = g^{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}.$$

- ▶  $x^\mu = (x^0, \mathbf{x})$  and  $x_\mu = g_{\mu\nu}x^\nu$  ( $= \sum_{\nu=0}^3 g_{\mu\nu}x^\nu$ )  $= (x^0, -\mathbf{x})$ .
- ▶ The scalar product is defined by  $x \cdot x \equiv x^\mu x_\mu = t^2 - \mathbf{x}^2$ .
- ▶ The equation for the *lightcone*:  $x^2 \equiv x^\mu x_\mu = 0$ .
- ▶ The displacement vector is naturally raised,  $x^\mu$ , while the derivative operator is naturally lowered

$$\partial_\mu = \frac{\partial}{\partial x^\mu} = \left( \frac{\partial}{\partial x^0}, \nabla \right).$$

# Lightcone



# Relativistic quantum mechanics

- ▶ Momentum vectors are similarly defined  $p^\mu = (E, p_x, p_y, p_z)$
- ▶ and the scalar product is defined by

$$p \cdot p = p^\mu p_\mu = E^2 - \mathbf{p} \cdot \mathbf{p} = m^2.$$

- ▶ Likewise  $p \cdot x = p^\mu x_\mu = Et - \mathbf{p} \cdot \mathbf{x}$ .
- ▶ The quantum mechanical transcriptions will be ( $\hbar = 1$ )

$$E = i \frac{\partial}{\partial x^0}, \quad \mathbf{p} = -i \nabla$$

or

$$p^\mu = i \partial^\mu.$$

- ▶ For a relativistic free particle, we may try a relativistic Hamiltonian  $H = \sqrt{p^2 + m^2}$  ( $c = 1$ ) to obtain

$$i \frac{\partial \psi}{\partial t} = \sqrt{-\nabla^2 + m^2} \psi \quad (?)$$

# The causality violation

The amplitude for a free particle to propagate from  $\mathbf{x}_0$  to  $\mathbf{x}$ :

$$\begin{aligned}U(t) &= \langle \mathbf{x} | e^{-iHt} | \mathbf{x}_0 \rangle \\&= \langle \mathbf{x} | e^{-it\sqrt{\mathbf{p}^2+m^2}} | \mathbf{x}_0 \rangle \\&= \frac{1}{(2\pi)^3} \int d^3p p e^{it\sqrt{\mathbf{p}^2+m^2}} e^{i\mathbf{p}\cdot(\mathbf{x}-\mathbf{x}_0)} \\&= \frac{1}{2\pi^2 |\mathbf{x} - \mathbf{x}_0|} \int_0^\infty dp p \sin(p |\mathbf{x} - \mathbf{x}_0|) e^{-it\sqrt{p^2+m^2}}.\end{aligned}$$

At the point  $x^2 \gg t^2$  (well outside the lightcone), the phase function  $px - t\sqrt{p^2+m^2}$  has a stationary point at  $p = i \frac{mx}{\sqrt{x^2-t^2}}$ .

We will have the propagation amplitude as

$$U(t) \sim e^{-m\sqrt{x^2-t^2}},$$

which is small but nonzero *outside* the lightcone.

**Causality is violated!**

## The action principle

The action  $S$  in *local field theory* is defined by the time integral of the Lagrangian density  $\mathcal{L}$  of the set of the components of the field  $\phi_r(x)$  and their derivatives  $\partial_\mu\phi_r(x)$ :

$$S = \int_{\sigma_0}^{\sigma} \mathcal{L}(\phi_r, \partial_\mu\phi_r) d^4x,$$

where a general spacelike plane  $\sigma$  at an instance  $\tau$  is characterized by an equation of plane

$$\sigma: \quad n \cdot x + \tau = 0, \quad n^2 = +1,$$

where  $n^\mu$  is a unit timelike normal vector.

The variation of the action is

$$\begin{aligned} \delta S &= \int_{\sigma_0}^{\sigma} \left( \frac{\partial \mathcal{L}}{\partial \phi_r} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_r)} \right) \delta_0 \phi_r d^4x + F(\sigma) - F(\sigma_0), \\ F(\sigma) &= \int_{\sigma} \left[ \left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_r)} \partial^\nu \phi_r - g^{\mu\nu} \mathcal{L} \right) \delta x_\nu - \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_r)} \delta \phi_r \right] d\sigma_\mu. \end{aligned}$$

# The equation of motion

If we choose a variation which vanishes at the boundary planes  $\sigma_0$  and  $\sigma$ , the observables at the boundary are unchanged for the total variation  $\delta\phi_r = \delta_0\phi_r + \partial_\mu\phi_r\delta x^\mu$ ,

$$F(\sigma) = F(\sigma_0) = 0$$
$$\delta S = \int_{\sigma_0}^{\sigma} \left( \frac{\partial\mathcal{L}}{\partial\phi_r} - \partial_\mu \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_r)} \right) \delta_0\phi_r d^4x = 0.$$

This equation is satisfied if the integrand vanishes at every point:

$$\frac{\partial\mathcal{L}}{\partial\phi_r} - \partial_\mu \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_r)} = 0.$$

These are the field equations. Note that we may write for the general variation of  $S$  simply

$$\delta S = F(\sigma) - F(\sigma_0).$$



# Lorentz transformations

The components of a four-vector referred to two different inertial systems with the *same origin* are related by a homogeneous proper Lorentz transformation, which is defined as the real linear transformation which leaves  $x^2 = x'^2 = 0$  invariant,

$$x'^{\mu} = \Lambda^{\mu}_{\nu} x^{\nu}; \quad \Lambda^{\mu}_{\nu} \Lambda^{\lambda\nu} = g^{\mu\lambda},$$

and which, in addition, satisfies

$$\Lambda^{\mu}_{\nu} \text{ real,} \quad \det(\Lambda^{\mu}_{\nu}) > 0, \quad \Lambda^0_0 > 0.$$

The inhomogeneous Lorentz transformation involves *displacements*, such that  $x' = Lx$ :

$$L : \quad x'^{\mu} = \Lambda^{\mu}_{\nu} x^{\nu} + \lambda^{\mu},$$

where  $\lambda^{\mu}$  is a four-vector independent of  $x$ . The field component  $\phi_r(x)$  transforms according to the proper Lorentz transformation:

$$\phi_r'(Lx) = S_r^s \phi_s(x).$$

# Lorentz group

For the Lorentz transformation of the field

$$\phi_r'(x) = U(L)\phi_r(x)U^{-1}(L),$$

we observe that the operators  $U(L)$  form a representation of the Lorentz group:

$$U(L_2L_1) = U(L_2)U(L_1).$$

The infinitesimal Lorentz transformations are defined by

$$\Lambda_\mu{}^\nu = g_\mu{}^\nu + \alpha_\mu{}^\nu, \quad \lambda_\mu = \alpha_\mu,$$

where  $\alpha_\mu{}^\nu$  and  $\alpha_\mu$  are infinitesimals *of first order*. The relation

$$\Lambda^\mu{}_\nu \Lambda^{\lambda\nu} = g^{\mu\lambda}$$

then leads to

$$\alpha_{\mu\nu} + \alpha_{\nu\mu} = 0.$$

## Poincaré group

The infinitesimal part of the transformation  $U$  may be written explicitly

$$U = \mathbf{1} + iK,$$

where the *generator*  $K$  is written as a linear function of the  $\alpha$ 's:

$$K = \frac{1}{2}M^{\mu\nu}\alpha_{\mu\nu} + P^\mu\alpha_\mu, \quad M^{\mu\nu} = -M^{\nu\mu}.$$

Lie's theorem asserts that such operators  $X_r$  satisfy

$$[X_r, X_s] = \sum_t c_{rs}{}^t X_t,$$

where the coefficients  $c_{rs}{}^t$  are called the structure constants of the group. This relation takes the form

$$\begin{aligned} [P^\mu, P^\nu] &= 0, \\ -i [M^{\mu\nu}, P^\lambda] &= g^{\mu\nu} P^\lambda - g^{\nu\lambda} P^\mu, \\ -i [M^{\mu\nu}, M^{\rho\sigma}] &= g^{\mu\rho} M^{\nu\sigma} - g^{\mu\sigma} M^{\nu\rho} + g^{\nu\sigma} M^{\mu\rho} - g^{\nu\rho} M^{\mu\sigma}. \end{aligned}$$

# Poincaré group

The transformed field components  $\phi_r'$  under the operator  $U$  may be written

$$\phi_r' = U \phi_r U^{-1} = (\mathbf{1} + iK) \phi_r (\mathbf{1} - iK) \simeq \phi_r + i [K, \phi_r].$$

So we have the increment of the field components after the transformation

$$\delta \phi_r = i [K, \phi_r].$$

The infinitesimal part of the transformation matrix  $S_r^s$ :

$$S_r^s = \delta_r^s + \Sigma_r^s, \quad \Sigma_r^s = \frac{1}{2} \Sigma_r^{s\mu\nu} a_{\mu\nu},$$

where the coefficients  $\Sigma_r^{s\mu\nu} = -\Sigma_r^{s\nu\mu}$ . The increment of  $\phi_r$  becomes

$$\delta \phi_r(x) = \frac{1}{2} [\Sigma_r^{s\mu\nu} \phi_s(x) + (x^\mu \partial^\nu - x^\nu \partial^\mu) \phi_r(x)] \alpha_{\mu\nu} - \partial^\mu \phi_r(x) \alpha_\mu.$$

## Momentum operators

We obtain the defining relations for the momentum operators:

$$\begin{aligned}i [M^{\mu\nu}, \phi_r(x)] &= \Sigma_r^{s\mu\nu} \phi_s(x) + (x^\mu \partial^\nu - x^\nu \partial^\mu) \phi_r(x), \\i [P^\mu, \phi_r(x)] &= -\partial^\mu \phi_r(x).\end{aligned}$$

Under an infinitesimal Lorentz transformation the plane  $\sigma$  suffers a displacement:

$$\delta x^\mu = \alpha^\mu{}_\nu x^\nu + \alpha^\nu,$$

and the field at the displaced point  $x + \delta x$  is  $\phi_r + \delta\phi_r$ , with

$$\delta\phi_r(x) = \frac{1}{2} \Sigma_r^{s\mu\nu} \phi_s(x) \alpha_{\mu\nu}.$$

The generating operator  $F(\sigma)$  yields

$$F(\sigma) = \int_\sigma \left[ T^{\mu\nu} (\alpha_{\nu\rho} x^\rho + \alpha_\nu) - \frac{1}{2} \pi^{r\mu} \Sigma_r^{s\nu\rho} \phi_s \alpha_{\nu\rho} \right] d\sigma_\mu,$$

where

$$\pi^{r\mu} = \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_r)}, \quad T^{\mu\nu} = \pi^{r\mu} \partial^\nu \phi_r - g^{\mu\nu} \mathcal{L}.$$

# Momentum operators

We write  $F(\sigma)$  in the form

$$F(\sigma) = \frac{1}{2} M^{\mu\nu} \alpha_{\mu\nu} + P^\mu \alpha_\mu,$$

$$M^{\mu\nu} = \int_\sigma (T^{\rho\mu} x^\nu - T^{\rho\nu} x^\mu - \pi^{r\rho} \Sigma_r^{s\mu\nu} \phi_s) d\sigma_\rho$$

$$P^\mu = \int_\sigma T^{\rho\mu} d\sigma_\rho.$$

¶ The operator  $F(\sigma)$  is the generating operator for the *variation* of the field at a point on the boundary  $\sigma$ : This variation is

$$\begin{aligned} \delta_0 \phi_r &= \frac{1}{2} [\Sigma_r^{s\mu\nu} \phi_s + (x^\mu \partial^\nu - x^\nu \partial^\mu) \phi_r] \alpha_{\mu\nu} - \partial^\mu \phi_r \alpha_\mu \\ &= i [F(\sigma), \phi_r], \end{aligned}$$

which must hold for arbitrary values of the ten parameters  $\alpha_{\mu\nu}$  and  $\alpha_\mu$ .

# Momentum operators

So we obtain the set of equations

$$\begin{aligned}i [M^{\mu\nu}, \phi_r] &= \Sigma_r^{s\mu\nu} \phi_s + (x^\mu \partial^\nu - x^\nu \partial^\mu) \phi_r, \\i [P^\mu, \phi_r] &= -\partial^\mu \phi_r.\end{aligned}$$

The tensor  $T^{\mu\nu}$  is called the *canonical momentum tensor*, while the angular momentum tensor  $M^{\mu\nu}$  may be split into two parts, defined by

$$\begin{aligned}M^{\mu\nu} &= L^{\mu\nu} + N^{\mu\nu} \quad (\text{total angular momentum}), \\L^{\mu\nu} &= \int_\sigma (T^{\rho\mu} x^\nu - T^{\rho\nu} x^\mu) d\sigma_\rho \quad (\text{orbital angular momentum}), \\N^{\mu\nu} &= - \int_\sigma \pi^{r\rho} \Sigma_r^{s\mu\nu} \phi_s d\sigma_\rho \quad (\text{spin angular momentum}).\end{aligned}$$

## Conservation laws

For any set of functions  $f^\mu(x)$  which vanish sufficiently fast in spacelike directions

$$\int_{\sigma_0}^{\sigma} \partial_\mu f^\mu d^4x = - \int_{\sigma} f^\mu d\sigma_\mu + \int_{\sigma_0} f^\mu d\sigma_\mu = 0,$$

if the conservation law holds, so that we have

$$\partial_\mu f^\mu = 0.$$

Applying this results to the integrands of  $M^{\mu\nu}$  and  $P^\mu$ , we obtain

$$T^{\mu\nu} - T^{\nu\mu} + \partial_\rho H^{\rho\mu\nu} = 0,$$

$$\partial_\mu T^{\mu\nu} = 0,$$

$$\text{with } H^{\rho\mu\nu} = \pi^{r\rho} \Sigma_r^{s\mu\nu} \phi_s = -H^{\rho\nu\mu}.$$



# Conservation laws

Defining the symmetrical momentum tensor

$$\Theta^{\mu\nu} = T^{\mu\nu} + \partial_\rho G^{\rho\mu\nu},$$

where

$$G_{\rho\mu\nu} = \frac{1}{2} (H_{\rho\mu\nu} + H_{\mu\nu\rho} + H_{\nu\mu\rho}),$$

we obtain the following tensor properties

$$\begin{aligned}\Theta^{\mu\nu} &= \Theta^{\nu\mu}, \\ P^\nu &= \int_\sigma \Theta^{\mu\nu} d\sigma_\mu, \\ \partial^\mu \Theta_{\mu\nu} &= 0.\end{aligned}$$

## Commutation rules

The generating operator is given by

$$F(\sigma) = - \int_{\sigma} \pi^{r\mu} \delta\phi_r d\sigma_{\mu},$$

and the arbitrary variation of the field components are

$$\delta\phi_r(x) = i \left[ \phi_r(x), \int_{\sigma} \pi^{s\mu}(y) \delta\phi_s(y) d\sigma_{\mu} \right], \quad \text{for } x \in \sigma.$$

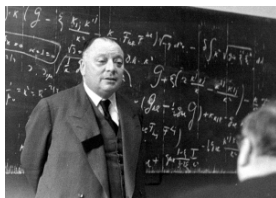
For any three operators  $A$ ,  $B$ , and  $C$ , the Jacobi identity is

$$\begin{aligned} [A, BC] &= [A, B]C + B[A, C] \\ &= \{A, B\}C - B\{A, C\}. \end{aligned}$$

So that for the three operators  $\phi_r(x)$ ,  $\pi^{s\mu}(y)$ , and  $\delta\phi_s(y)$ , we have two possibilities

- (a)  $[\phi_r(x), \delta\phi_s(y)] = 0, \quad [\phi_r(x), \pi^{s\mu}(y)] = -\delta_r^s \delta^{\mu}(x, y),$
- (b)  $\{\phi_r(x), \delta\phi_s(y)\} = 0, \quad \{\phi_r(x), \pi^{s\mu}(y)\} = -\delta_r^s \delta^{\mu}(x, y).$

# Pauli's principle



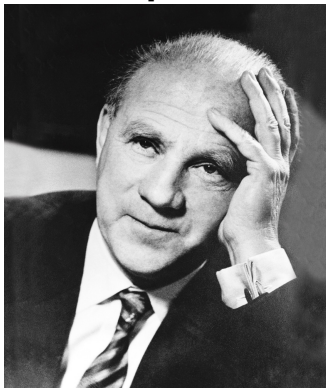
Wolfgang Pauli (1936, 1940) suggested the new principles that

1. The total energy of the system must be a positive definite operator such that the vacuum state is the state of the lowest energy.
2. Observables at two points with space-like separation must commute with each other.

The quantization of the fields

- ▶ with half-integer spin according to case (a) would violate principle 1,  $\rightarrow$  known as the **exclusion principle**,
- ▶ while with integer spin according to case (b) would violate principle 2.

## Free field quantizations



We have to remember that what we observe is not nature herself, but nature exposed to our method of questioning. – Werner Heisenberg.

# The Klein-Gordon attempts

Considering the Lagrangian of a scalar field

$$\begin{aligned}\mathcal{L} &= \frac{1}{2}\dot{\phi}^2 - \frac{1}{2}(\nabla\phi)^2 - \frac{1}{2}m^2\phi^2 \\ &= \frac{1}{2}(\partial_\mu\phi)^2 - \frac{1}{2}m^2\phi^2,\end{aligned}$$

we obtain the Klein-Gordon equation

$$\left(\frac{\partial^2}{\partial t^2} - \nabla^2 + m^2\right)\phi = 0, \quad (\partial^\mu\partial_\mu + m^2)\phi = 0, \quad \text{or} \quad (\square + m^2)\phi = 0.$$

Noting that the conjugate to  $\phi(x)$  is  $\pi(x) = \dot{\phi}(x)$ , we can construct the Hamiltonian:

$$H = \int d^3x \mathcal{H} = \int d^3x \left[ \frac{1}{2}\pi^2 + \frac{1}{2}(\nabla\phi)^2 + \frac{1}{2}m^2\phi^2 \right].$$

# The Klein-Gordon attempts

In the momentum space representation, the Klein-Gordon field is expanded as

$$\phi(\mathbf{x}, t) = \int \frac{d^3p}{(2\pi)^3} e^{i\mathbf{p}\cdot\mathbf{x}} \phi(\mathbf{p}, t)$$

so that the Klein-Gordon equation will be

$$\left[ \frac{\partial^2}{\partial t^2} + (|\mathbf{p}|^2 + m^2) \right] \phi(\mathbf{p}, t) = 0 \quad \text{or} \quad \left[ \frac{\partial^2}{\partial t^2} + \omega_{\mathbf{p}}^2 \right] \phi(\mathbf{p}, t) = 0,$$

which is a simple harmonic oscillator (SHO) equation which can be easily solved by introducing the annihilation and creation operators such that

$$\left[ a_{\mathbf{p}}, a_{\mathbf{p}'}^\dagger \right] = (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{p}').$$

# The Klein-Gordon attempts

We will expand the field  $\phi(\mathbf{x})$  and  $\pi(\mathbf{x})$  in terms of the annihilation and creation operators as

$$\begin{aligned}\phi(\mathbf{x}) &= \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2\omega_{\mathbf{p}}}} \left( a_{\mathbf{p}} + a_{-\mathbf{p}}^\dagger \right) e^{i\mathbf{p}\cdot\mathbf{x}}; \\ \pi(\mathbf{x}) &= \int \frac{d^3p}{(2\pi)^3} (i) \sqrt{\frac{\omega_{\mathbf{p}}}{2}} \left( a_{\mathbf{p}} - a_{-\mathbf{p}}^\dagger \right) e^{i\mathbf{p}\cdot\mathbf{x}}.\end{aligned}$$

These expansions yield the field commutator relation

$$\begin{aligned}[\phi(\mathbf{x}), \pi(\mathbf{x}')] &= \int \frac{d^3p d^3p'}{(2\pi)^6} \frac{-i}{2} \sqrt{\frac{\omega_{\mathbf{p}'}}{\omega_{\mathbf{p}}}} \\ &\quad \times \left( [a_{-\mathbf{p}}^\dagger, a_{\mathbf{p}'}] - [a_{\mathbf{p}}, a_{\mathbf{p}'}^\dagger] \right) e^{i(\mathbf{p}\cdot\mathbf{x} + \mathbf{p}'\cdot\mathbf{x}')} \\ &= i\delta^{(3)}(\mathbf{x} - \mathbf{x}').\end{aligned}$$

## The Klein-Gordon attempts

Then the Hamiltonian will be

$$H = \int \frac{d^3p}{(2\pi)^3} \omega_{\mathbf{p}} \left( a_{\mathbf{p}}^\dagger a_{\mathbf{p}} + \underbrace{\frac{1}{2} [a_{\mathbf{p}}, a_{\mathbf{p}}^\dagger]}_{=0} \right).$$

The total momentum operator is written as

$$\mathbf{P} = - \int d^3x \pi(\mathbf{x}) \nabla \phi(\mathbf{x}) = \int \frac{d^3p}{(2\pi)^3} \mathbf{p} a_{\mathbf{p}}^\dagger a_{\mathbf{p}}.$$

- ⇒ The operator  $a_{\mathbf{p}}^\dagger$  creates momentum  $\mathbf{p}$  and energy  $\omega_{\mathbf{p}} = \sqrt{|\mathbf{p}|^2 + m^2}$ .
- ⇒ The state  $a_{\mathbf{p}}^\dagger a_{\mathbf{q}}^\dagger \cdots |0\rangle$  has momentum  $\mathbf{p} + \mathbf{q} + \cdots$ .
- ⇒ We call these excitations *particles*.
- ⇒ We will refer to  $\omega_{\mathbf{p}}$  as  $E_{\mathbf{p}} = +\sqrt{|\mathbf{p}|^2 + m^2}$ , since it is the positive energy of the particle.



## The Klein-Gordon attempts

The one-particle state  $|\mathbf{p}\rangle \propto a_{\mathbf{p}}^\dagger |0\rangle$  is normalized with the Lorentz invariance with a boost  $p'_i = \gamma (p_i + \beta E)$  and  $E' = \gamma (E + \beta p_i)$ , where  $\beta = v_i/c$  and  $\gamma = \sqrt{1 - \beta^2}$ :

$$\begin{aligned}\delta^{(3)}(\mathbf{p} - \mathbf{q}) &= \delta^{(3)}(\mathbf{p}' - \mathbf{q}') \frac{dp'_i}{dp_i} \\ &= \delta^{(3)}(\mathbf{p}' - \mathbf{q}') \gamma \left(1 + \beta \frac{dE}{dp_i}\right) \\ &= \delta^{(3)}(\mathbf{p}' - \mathbf{q}') \frac{\gamma}{E} (E + \beta p_i) \\ &= \delta^{(3)}(\mathbf{p}' - \mathbf{q}') \frac{E'}{E}.\end{aligned}$$

We define

$$|\mathbf{p}\rangle \equiv \sqrt{2E_{\mathbf{p}}} a_{\mathbf{p}}^\dagger |0\rangle \rightarrow \langle \mathbf{p} | \mathbf{q} \rangle = 2E_{\mathbf{p}} (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{q}).$$

# Time-evolution of the Klein-Gordon fields

The Heisenberg picture of the fields

$$\begin{aligned}\phi(x) &= \phi(\mathbf{x}, t) = e^{iHt} \phi(\mathbf{x}) e^{-iHt} \\ \pi(x) &= \pi(\mathbf{x}, t) = e^{iHt} \pi(\mathbf{x}) e^{-iHt}\end{aligned}$$

exhibit the time-evolution by the Heisenberg equation of motion  $i \frac{\partial}{\partial t} \mathcal{O} = [\mathcal{O}, H]$ . The time dependences of the annihilation and creation operators are

$$a_{\mathbf{p}}^{\text{H}} \equiv e^{iHt} a_{\mathbf{p}} e^{-iHt} = a_{\mathbf{p}} e^{-iE_{\mathbf{p}}t}, \quad a_{\mathbf{p}}^{\text{H}\dagger} \equiv e^{iHt} a_{\mathbf{p}}^{\dagger} e^{-iHt} = a_{\mathbf{p}}^{\dagger} e^{iE_{\mathbf{p}}t}.$$

Omitting the superscript H,  $a_{\mathbf{p}}^{\text{H}} \rightarrow a_{\mathbf{p}}$  and  $a_{\mathbf{p}}^{\text{H}\dagger} \rightarrow a_{\mathbf{p}}^{\dagger}$ , the fields are expanded by the operators:

$$\begin{aligned}\phi(\mathbf{x}, t) &= \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E_{\mathbf{p}}}} \left( a_{\mathbf{p}} e^{-ip \cdot x} + a_{\mathbf{p}}^{\dagger} e^{ip \cdot x} \right) \Big|_{p^0=E_{\mathbf{p}}}; \\ \pi(\mathbf{x}, t) &= \frac{\partial}{\partial t} \phi(\mathbf{x}, t) :\end{aligned}$$

the explicit description of the particle-wave duality.

# The Dirac field

- ▶ The Klein-Gordon Lagrangian

$$\mathcal{L} = \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} (\nabla\phi)^2 - \frac{1}{2} m^2 \phi^2$$

has resolved the relativistic inconsistency of the Schrödinger equation.

- ▶ However, the quantization  $[a, a^\dagger] = 1 \not\Rightarrow$  electron.
- ▶ Dirac (1928) suggested another Lagrangian:

$$\mathcal{L} = \bar{\psi} (i\not{\partial} - m) \psi = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi. \quad (\bar{\psi} \equiv \psi^\dagger \gamma^0)$$

- ▶ The canonical momentum conjugate to  $\psi$  is  $i\psi^\dagger$ ,
- ▶ and thus the Hamiltonian is

$$\begin{aligned} H &= \int d^3x \bar{\psi} (-i\boldsymbol{\gamma} \cdot \boldsymbol{\nabla} + m) \psi = \int d^3x \psi^\dagger [-i\gamma^0 \boldsymbol{\gamma} \cdot \boldsymbol{\nabla} + m\gamma^0] \psi \\ &= \int d^3x \bar{\psi} [-i\boldsymbol{\alpha} \cdot \boldsymbol{\nabla} + m\beta] \psi. \quad (\boldsymbol{\alpha} \equiv \gamma^0 \boldsymbol{\gamma}, \beta \equiv \gamma^0) \end{aligned}$$

# Dirac matrices

The Dirac matrices follows the algebra

$$\{\gamma^\mu, \gamma^\nu\} \equiv \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu} \times \mathbf{1}_{4 \times 4} \rightarrow S^{\mu\nu} = \frac{i}{4} [\gamma^\mu, \gamma^\nu].$$

Define

$$\gamma^5 \equiv i\gamma^0\gamma^1\gamma^2\gamma^3 = -\frac{i}{4}\epsilon^{\mu\nu\rho\sigma}\gamma_\mu\gamma_\nu\gamma_\rho\gamma_\sigma.$$

There are 5 standard classes of the  $\gamma$ -matrices

1	scalar	1
$\gamma^\mu$	vector	4
$\sigma^{\mu\nu} = \frac{i}{2} [\gamma^\mu, \gamma^\nu]$	tensor	6
$\gamma^\mu\gamma^5$	pseudo-vector	4
$\gamma^5$	pseudo-scalar	1
<hr/>		16

Explicitly, we have

$$\gamma^0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad \gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix}; \quad \gamma^5 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$$

## Dirac spinor

Pauli spin matrices are defined by the Dirac algebra

$$\gamma^j \equiv i\sigma^j \quad \Rightarrow \quad \{\gamma^i, \gamma^j\} = -2\delta^{ij}.$$

The Lorentz algebra are then

$$S^{ij} = \frac{1}{2}\epsilon^{ijk}\sigma^k,$$

the two-dimensional representation of the rotation group.

The boost and rotation generators are

$$S^{0i} = \frac{i}{4} [\gamma^0, \gamma^i] = -\frac{i}{2} \begin{pmatrix} \sigma^i & 0 \\ 0 & -\sigma^i \end{pmatrix},$$
$$S^{ij} = \frac{i}{4} [\gamma^i, \gamma^j] = -\frac{1}{2}\epsilon^{ijk} \begin{pmatrix} \sigma^k & 0 \\ 0 & \sigma^k \end{pmatrix} \equiv \frac{1}{2}\epsilon^{ijk}\Sigma^k,$$

which transform the four-component field  $\psi$ , a *Dirac spinor*.

# Dirac equation

The action principle yields the Dirac equation

$$(i\gamma^\mu \partial_\mu - m) \psi(x) = 0.$$

This implies the Klein-Gordon equation shown by acting  $(-i\gamma^\mu \partial_\mu - m)$  on the left

$$\begin{aligned} (-i\gamma^\mu \partial_\mu - m) (i\gamma^\nu \partial_\nu - m) \psi &= (\gamma^\mu \gamma^\nu \partial_\mu \partial_\nu + m^2) \psi \\ &= \left( \frac{1}{2} \{ \gamma^\mu, \gamma^\nu \} \partial_\mu \partial_\nu + m^2 \right) \psi \\ &= (\partial^2 + m^2) \psi = 0. \end{aligned}$$

Since the canonical momentum conjugate to  $\psi$  is  $i\psi^\dagger$ , the Hermitian conjugate form of the Dirac equation is

$$-i\partial_\mu \bar{\psi} \gamma^\mu - m\bar{\psi} = 0.$$

## Solutions of the Dirac equation

Since a Dirac field  $\psi$  obeys the Klein-Gordon equation, we can expand it as linear combinations of plane waves:

$$\psi(x) = u(p)e^{-ip \cdot x}, \quad \psi(x) = v(p)e^{+ip \cdot x}.$$

Plugging them into the Dirac equation, we obtain

$$\begin{aligned}(\gamma^\mu p_\mu - m) u(p) &= (\not{p} - m) u(p) = 0, & p^2 &= m^2, & p^0 > 0, \\(\gamma^\mu p_\mu + m) v(p) &= (\not{p} + m) v(p) = 0, & p^2 &= m^2, & p^0 > 0.\end{aligned}$$

In the rest frame, with  $\sigma^\mu \equiv (1, \boldsymbol{\sigma})$  and  $\bar{\sigma}^\mu = (1, -\boldsymbol{\sigma})$ , the column vectors  $u(p)$  and  $v(p)$  are in the form

$$u^s(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \xi^s \\ \sqrt{p \cdot \bar{\sigma}} \xi^s \end{pmatrix}, \quad v^s(p) = \begin{pmatrix} \sqrt{p \cdot \sigma} \eta^s \\ -\sqrt{p \cdot \bar{\sigma}} \eta^s \end{pmatrix}, \quad s = 1, 2,$$

where  $\xi^s$  and  $\eta^s$  are the bases of the two-component spinors.

## Spin sums

The solutions are normalized according to

$$\begin{aligned}\bar{u}^r(p)u^s(p) &= +2m\delta^{rs}, & u^{s\dagger}(p)u^s(p) &= +2E_{\mathbf{p}}\delta^{rs}, \\ \bar{v}^r(p)v^s(p) &= -2m\delta^{rs}, & v^{s\dagger}(p)v^s(p) &= +2E_{\mathbf{p}}\delta^{rs}.\end{aligned}$$

The  $u$ 's and  $v$ 's are orthogonal to each other:

$$\bar{u}^r(p)v^s(p) = \bar{v}^r(p)u^s(p) = 0,$$

but

$$u^{r\dagger}(\mathbf{p})v^s(\mathbf{p}) = v^{r\dagger}(-\mathbf{p})u^s(\mathbf{p}) = 0.$$

Then the completeness relations are

$$\begin{aligned}\sum_s u^s(p)\bar{u}^s(p) &= \gamma \cdot p + m = \gamma^\mu p_\mu + m = \not{p} + m, \\ \sum_s v^s(p)\bar{v}^s(p) &= \gamma \cdot p - m = \gamma^\mu p_\mu - m = \not{p} - m.\end{aligned}$$



# The quantized Dirac field

The Dirac field operators are expanded by plane waves

$$\psi(x) = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E_{\mathbf{p}}}} \sum_s \left( a_{\mathbf{p}}^s u^s(p) e^{-ip \cdot x} + b_{\mathbf{p}}^{s\dagger} v^s(p) e^{ip \cdot x} \right);$$

$$\bar{\psi}(x) = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E_{\mathbf{p}}}} \sum_s \left( b_{\mathbf{p}}^s \bar{v}^s(p) e^{-ip \cdot x} + a_{\mathbf{p}}^{s\dagger} \bar{u}^s(p) e^{ip \cdot x} \right),$$

where the creation and annihilation operators obey the anticommutation relations

$$\left\{ a_{\mathbf{p}}^r, a_{\mathbf{q}}^{s\dagger} \right\} = \left\{ b_{\mathbf{p}}^r, b_{\mathbf{q}}^{s\dagger} \right\} = (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{q}) \delta^{rs}.$$

The equal-time anticommutation relations for  $\psi$  and  $\psi^\dagger$  are then

$$\left\{ \psi_a(\mathbf{x}), \psi_b^\dagger(\mathbf{y}) \right\} = \delta^{(3)}(\mathbf{x} - \mathbf{y}) \delta_{ab};$$
$$\left\{ \psi_a(\mathbf{x}), \psi_b(\mathbf{y}) \right\} = \left\{ \psi_a^\dagger(\mathbf{x}), \psi_b^\dagger(\mathbf{y}) \right\} = 0.$$

## Physical meaning of the Dirac field

The vacuum  $|0\rangle$  is defined to be the state such that

$$a_{\mathbf{p}}^s |0\rangle = b_{\mathbf{p}}^s |0\rangle = 0.$$

The Hamiltonian, with dropping the infinities, are written

$$H = \int \frac{d^3p}{(2\pi)^3} \sum_s E_{\mathbf{p}} \left( a_{\mathbf{p}}^{s\dagger} a_{\mathbf{p}}^s + b_{\mathbf{p}}^{s\dagger} b_{\mathbf{p}}^s \right).$$

The momentum operator is

$$\mathbf{P} = \int d^3x \psi^\dagger (-i\nabla) \psi = \int \frac{d^3p}{(2\pi)^3} \sum_s \mathbf{p} \left( a_{\mathbf{p}}^{s\dagger} a_{\mathbf{p}}^s + b_{\mathbf{p}}^{s\dagger} b_{\mathbf{p}}^s \right).$$

Thus both  $a_{\mathbf{p}}^{s\dagger}$  and  $b_{\mathbf{p}}^{s\dagger}$  create particles with energy  $+E_{\mathbf{p}}$  and momentum  $\mathbf{p}$ . The one-particle states  $|\mathbf{p}, s\rangle \equiv \sqrt{2E_{\mathbf{p}}} a_{\mathbf{p}}^{s\dagger} |0\rangle$  is defined so that  $\langle \mathbf{p}, r | \mathbf{q}, s \rangle = 2E_{\mathbf{p}} (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{q}) \delta^{rs}$  is Lorentz invariant.

# Conservations of the Dirac field

The Dirac field transforms according to

$$\psi(x) \rightarrow \psi'(x) = \Lambda_{\frac{1}{2}} \psi (\Lambda^{-1}x).$$

The change in the field at a fixed point is

( $\Lambda_{\frac{1}{2}} \simeq 1 - \frac{i}{2}\omega_{\mu\nu}S^{\mu\nu} = 1 - \frac{i}{2}\theta\Sigma^3$ , i.e. infinitesimal rotation angle  $\theta$  about  $z$ -axis)

$$\begin{aligned}\delta\psi &= \psi'(x) - \psi(x) = \Lambda_{\frac{1}{2}} \psi (\Lambda^{-1}x) - \psi(x) \\ &= \left(1 - \frac{i}{2}\theta\Sigma^3\right) \psi(t, x + \theta y, y - \theta x, z) - \psi(x) \\ &= -\theta \left(x\partial_y + y\partial_x + \frac{i}{2}\Sigma^3\right) \psi(x) \equiv \theta\Delta\psi.\end{aligned}$$

The conserved Noether currents are

$$\begin{aligned}j^0 &= \frac{\partial\mathcal{L}}{\partial(\partial_0\psi)} \Delta\psi = -i\bar{\psi}\gamma^0 \left(x\partial_y - y\partial_x + \frac{i}{2}\Sigma^3\right) \psi, \\ \mathbf{J} &= \int d^3x \psi^\dagger \left(\mathbf{x} \times (-i\nabla) + \frac{1}{2}\boldsymbol{\Sigma}\right) \psi.\end{aligned}$$

# Spin- $\frac{1}{2}$ Dirac field

At  $t = 0$ , for simplicity,

$$\begin{aligned} J_z &= \int d^3x \int \frac{d^3p d^3p'}{(2\pi)^6} \frac{1}{\sqrt{2E_{\mathbf{p}} 2E_{\mathbf{p}'}}} e^{-i\mathbf{p}' \cdot \mathbf{x}} e^{i\mathbf{p} \cdot \mathbf{x}} \\ &\quad \times \sum_{r,r'} \left( a_{\mathbf{p}'}^{r'\dagger} u^{r'}(\mathbf{p}') + b_{-\mathbf{p}'}^{r'} v^{r'\dagger}(-\mathbf{p}') \right) \\ &\quad \times \frac{\sum^3}{2} \left( a_{\mathbf{p}}^r u^r(\mathbf{p}) + b_{-\mathbf{p}}^r v^r(-\mathbf{p}) \right). \end{aligned}$$

The commutator rules for  $a_0^{s\dagger}$  yields

$$J_z a_0^{s\dagger} |0\rangle = \frac{1}{2m} \sum_r \left( u^{s\dagger}(0) \frac{\sum^3}{2} u^r(0) \right) a_0^{r\dagger} |0\rangle = \sum_r \left( \xi^{s\dagger} \frac{\sigma^3}{2} \xi^r \right) a_0^r |0\rangle;$$

the eigenvalues of  $J_z$  are  $\pm \frac{1}{2}$ .

$\Rightarrow$  The Dirac field conveys spin- $\frac{1}{2}$ .

# Conserved quantities of the Dirac field

- ▶ A current  $j^\mu(x) = \bar{\psi}(x)\gamma^\mu\psi(x)$  is conserved by the Dirac equation

$$\begin{aligned}\partial_\mu j^\mu &= (\partial_\mu \bar{\psi}) \gamma^\mu \psi + \bar{\psi} \gamma^\mu \partial_\mu \psi \\ &= (im\bar{\psi}) \psi + \bar{\psi} (-im\psi) = 0.\end{aligned}$$

- ▶ The charge associated with this current is

$$Q = \int \frac{d^3p}{(2\pi)^3} \sum_s \left( a_{\mathbf{p}}^{s\dagger} a_{\mathbf{p}}^s - b_{\mathbf{p}}^{s\dagger} b_{\mathbf{p}}^s \right)$$

is conserved: there is a unit charge  $e$ .

- ▶ An axial vector current  $j^{\mu 5}(x) = \bar{\psi}(x)\gamma^\mu\gamma^5\psi(x)$  is conserved

$$\partial_\mu j^{\mu 5} = 2im\bar{\psi}\gamma^5\psi.$$

if  $m = 0$ .

## Discrete symmetries of the Dirac field

Let  $C$  the charge conjugation,  $P$  the parity,  $T$  the time reversal operators. Use the shorthand  $(-1)^\mu \equiv 1$  for  $\mu = 0$  and  $(-1)^\mu \equiv -1$  for  $\mu = 1, 2, 3$ .

	$\bar{\psi}\psi$	$i\bar{\psi}\gamma^5\psi$	$\bar{\psi}\gamma^\mu\psi$	$\bar{\psi}\gamma^\mu\gamma^5\psi$	$\bar{\psi}\sigma^{\mu\nu}\psi$	$\partial_\mu$
$P$	+1	-1	$(-1)^\mu$	$-(-1)^\mu$	$(-1)^\mu(-1)^\nu$	$(-1)^\mu$
$T$	+1	-1	$(-1)^\mu$	$(-1)^\mu$	$-(-1)^\mu(-1)^\nu$	$-(-1)^\mu$
$C$	+1	+1	-1	+1	-1	+1
$CPT$	+1	+1	-1	-1	+1	-1

- ▶ The free Dirac Lagrangian  $\mathcal{L}_0 = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi$  is invariant under  $C$ ,  $P$ , and  $T$  separately.
- ▶ The perturbation  $\delta\mathcal{L}$  must be a Lorentz scalar.
- ▶ All Lorentz scalar combinations of  $\bar{\psi}$  and  $\psi$  are invariant under the combined symmetry  $CPT$ .

# Propagators and causality

The amplitude for a scalar Klein-Gordon particle to propagate from  $y$  to  $x$  is  $\langle 0 | \phi(x) \phi(y) | 0 \rangle$ :

$$\overset{?}{\dashrightarrow} \frac{D'(x-y)}{x-y} \langle 0 | \phi(x) \phi(y) | 0 \rangle = D'(x-y) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{2E_{\mathbf{p}}} e^{-ip \cdot (x-y)}.$$

- ▶ When  $x - y$  is purely in the time direction,  $(x - y)^2 > 0$ ;  
 $x^0 - y^0 = t, \mathbf{x} - \mathbf{y} = 0$ :

$$\begin{aligned} D'(x-y) &= \frac{4\pi}{(2\pi)^3} \int_0^\infty dp \frac{p^2}{2\sqrt{p^2 + m^2}} e^{-i\sqrt{p^2 + m^2}t} \\ &= \frac{1}{4\pi^2} \int_m^\infty dE \sqrt{E^2 - m^2} e^{-iEt} \\ &\underset{t \rightarrow \infty}{\sim} e^{-imt}. \end{aligned}$$

# Propagators and causality

- ▶ When  $x - y$  is purely spatial direction,  $(x - y)^2 < 0$ ;  
 $x^0 - y^0 = 0$ ,  $\mathbf{x} - \mathbf{y} = \mathbf{r}$ :

$$\begin{aligned} D'(x - y) &= \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_{\mathbf{p}}} e^{i\mathbf{p}\cdot\mathbf{r}} \\ &= \frac{2\pi}{(2\pi)^3} \int_0^\infty dp \frac{p^2}{2E_{\mathbf{p}}} \frac{e^{ipr} - e^{-ipr}}{ipr} \\ &= \frac{-i}{2(2\pi)^2 r} \int_{-\infty}^\infty dp \frac{pe^{ipr}}{\sqrt{p^2 + m^2}} \\ &\underset{r \rightarrow \infty}{\sim} e^{-mr}. \end{aligned}$$

⇒ Causality is still violated so we need to a correct form of the amplitude vanishing for  $(x - y)^2 < 0$ .

⇒ Since  $\phi(x)$  is a quantum field, let us consider a commutator  $[\phi(x), \phi(y)]$ .



# Propagators and causality

- ▶ The amplitude for the commutator

$$\begin{aligned}\langle 0 | [\phi(x), \phi(y)] | 0 \rangle &= \langle 0 | \phi(x)\phi(y) | 0 \rangle - \langle 0 | \phi(y)\phi(x) | 0 \rangle \\ &= D'(x - y) - D'(y - x) \\ &= \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_{\mathbf{p}}} \left( e^{-ip \cdot (x-y)} - e^{ip \cdot (x-y)} \right)\end{aligned}$$

preserves causality under the Lorentz transformation by taking  $(x - y) \rightarrow -(x - y)$  on the second term to cancel each other.

- ▶ The amplitude integral can convey the frequency integral through the residue theorem:

$$\begin{aligned}\oint \frac{dp_0}{2\pi} \frac{e^{-ip_0(x^0-y^0)}}{p^2 - m^2} &= \oint \frac{dp_0}{2\pi} \frac{e^{-ip_0(x^0-y^0)}}{p_0^2 - E_{\mathbf{p}}^2} \\ &= -2\pi i \left( \text{Res}|_{p_0=+E_{\mathbf{p}}} + \text{Res}|_{p_0=-E_{\mathbf{p}}} \right) \\ &= -i \frac{1}{2E_{\mathbf{p}}} \left( e^{-iE_{\mathbf{p}}(x^0-y^0)} - e^{+iE_{\mathbf{p}}(x^0-y^0)} \right).\end{aligned}$$

## Propagators and causality

The amplitude for  $x^0 > y^0$  is then

$$\langle 0 | [\phi(x), \phi(y)] | 0 \rangle_{x^0 > y^0} = \int \frac{d^3 p}{(2\pi)^3} \int \frac{dp^0}{2\pi i} \frac{-1}{p^2 - m^2} e^{-ip \cdot (x-y)}.$$

Let us define a function

$$D_R(x-y) \equiv \theta(x^0 - y^0) \langle 0 | [\phi(x), \phi(y)] | 0 \rangle,$$

which satisfies the Klein-Gordon Green's function equation

$$(\partial^2 + m^2) D_R(x-y) = -i\delta^{(4)}(x-y),$$

$$\text{or } (-p^2 + m^2) \tilde{D}_R(p) = -i.$$

which is known as the *retarded* Green's function, explicitly

$$D_R(x-y) = \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2} e^{-ip \cdot (x-y)}.$$

For  $x^0 < y^0$ , we have the *advanced* Green's function

$$D_A(x-y) = \theta(y^0 - x^0) \langle 0 | [\phi(x), \phi(y)] | 0 \rangle = -D_R(x-y).$$

# Propagators and causality

Let us define the Klein-Gordon *Feynman propagator* as

$$\begin{aligned}D'_F(x-y) &\equiv \langle 0 | \hat{T} \{ \phi(x) \phi(y) \} | 0 \rangle \\ &= D_R(x-y) + D_A(y-x) \\ &\stackrel{(t \equiv x^0 - y^0)}{=} \theta(t) \langle 0 | \phi(x) \phi(y) | 0 \rangle + \theta(-t) \langle 0 | \phi(y) \phi(x) | 0 \rangle \\ &= \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\epsilon} e^{-ip \cdot (x-y)}.\end{aligned}$$

where  $\hat{T} \{ \dots \}$  is the *time-ordering* operator, and the integrand of the last line has the poles  $p^0 = \pm (E_{\mathbf{p}} - i\epsilon)$ , for  $\epsilon \rightarrow 0^+$ .

Similarly, we can also define the Dirac Feynman propagator as

$$\begin{aligned}S'_F(x-y) &\equiv \langle 0 | \hat{T} \{ \psi(x) \bar{\psi}(y) \} | 0 \rangle \\ &= \int \frac{d^4 p}{(2\pi)^4} \frac{i(\not{p} + m)}{p^2 - m^2 + i\epsilon} e^{-ip \cdot (x-y)}.\end{aligned}$$

# Electromagnetic interaction



To those who do not know mathematics it is difficult to get across a real feeling as to the beauty, the deepest beauty, of nature ...—Richard P. Feynman.

# Electromagnetic interaction

- ▶ We have understood the spin and dynamics of electron as a free Dirac field.
- ▶ However, a free particle is not measurable so we need interaction to really observe it.
- ▶ An electron is subjected to the electromagnetic interaction with the Lagrangian such that

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}} \\ &= \bar{\psi} (i\cancel{D} - m_0) \psi + \frac{1}{4} F^{\mu\nu} F_{\mu\nu} - e_0 \bar{\psi} \gamma^\mu \psi A_\mu,\end{aligned}$$

where  $A_\mu$  is the electromagnetic vector potential,  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  is the skew-symmetrical electromagnetic field tensor, and  $e_0 < 0$  is the electron charge.

- ▶ Introducing  $D_\mu \equiv \partial_\mu + ie_0 A_\mu$  we have a simpler form

$$\mathcal{L}_{\text{QED}} = \bar{\psi} (i\cancel{D} - m_0) \psi - \frac{1}{4} F^{\mu\nu} F_{\mu\nu}.$$

# Electromagnetic interaction

- ▶ The QED Lagrangian is invariant under the *gauge transformations*

$$\psi(x) \rightarrow e^{i\alpha(x)}\psi(x), \quad A_\mu(x) \rightarrow A_\mu(x) + \partial_\mu\alpha(x).$$

- ▶ The equation of motion for  $\psi$  is

$$(i\not{D} - m_0) \psi(x) = 0,$$

which is just the Dirac equation coupled to the electromagnetic field.

- ▶ The equation of motion for  $A_\nu$  is

$$\partial_\mu F^{\mu\nu} = e_0 \bar{\psi} \gamma^\nu \psi = e_0 j^\nu,$$

which is the inhomogeneous Maxwell equations, with the current density  $j^\nu = \bar{\psi} \gamma^\nu \psi$ .

- ▶ The quantization of  $A_\mu$  fields are depending on the choice of gauges, such as the Coulomb gauge  $\nabla \cdot \mathbf{A} = 0$  or the Lorentz gauge  $\partial_\mu A^\mu = 0$ .

## Maxwell field

In the relativistic notations, the maxwell field is defined by

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu, \quad j^\mu = (\rho, \mathbf{j})$$
$$\Rightarrow \quad \mathbf{E} = -\nabla A^0 - \frac{\partial \mathbf{A}}{\partial t}, \quad \mathbf{B} = \nabla \times \mathbf{A}$$

and written as

$$F^{\mu\nu} = -F^{\nu\mu} = \begin{pmatrix} 0 & -E^1 & -E^2 & -E^3 \\ E^1 & 0 & -B^3 & B^2 \\ E^2 & B^3 & 0 & -B^1 \\ E^3 & -B^2 & B^1 & 0 \end{pmatrix}.$$

The four-vector potential  $A^\mu$  does not determined uniquely for a *gauge transformation*

$$A^\mu(x) \rightarrow A^\mu(x) + \partial^\mu \alpha(x),$$

but it yields the Lorentz invariant Maxwell equation

$$\square A^\mu - \partial^\mu (\partial \cdot A) = j^\mu.$$

## Radiation field

- ▶ We modify the Lagrangian  $\mathcal{L}_{\text{Maxwell}}$  to

$$\mathcal{L}_{\text{Maxwell}} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} - \frac{1}{2}\xi(\partial \cdot A)^2$$

so that the Maxwell's equation are replaced by

$$\square A_\mu - (1 - \xi)\partial_\mu(\partial \cdot A) = 0$$

and the conjugate momenta  $\pi^\mu$  to  $A_\mu$  are

$$\pi^\rho = \frac{\partial \mathcal{L}_{\text{Maxwell}}}{\partial(\partial_0 A_\mu)} = F^{\mu 0} - \xi g^{\mu 0}(\partial \cdot A),$$

where  $(\partial \cdot A)$  is a scalar field such that  $\square(\partial \cdot A) = 0$ .

- ▶ For radiation field, we conveniently choose  $\xi = 1$  (Feynman gauge) to yield the Maxwell equation

$$\square A^\mu = 0.$$



Dixitque Deus,

FIAT LUX



et facta est lux. – Genesis 1:3

## Radiation field

The solutions of  $\square A^\mu = 0$  are the plane waves:

$$A_\mu(x) = \int \frac{d^3k}{(2\pi)^3} \frac{1}{\sqrt{2E_{\mathbf{k}}}} \times \sum_{\lambda=0}^3 \left[ a^{(\lambda)}(k) \varepsilon_\mu^{(\lambda)}(k) e^{-ik \cdot x} + a^{(\lambda)\dagger}(k) \varepsilon_\mu^{(\lambda)*}(k) e^{+ik \cdot x} \right],$$

where  $\varepsilon^{(\lambda)}$  are the bases of polarization vectors, which satisfies

$$\sum_\lambda \frac{\varepsilon_\mu^{(\lambda)}(k) \varepsilon_\nu^{(\lambda)*}(k)}{\varepsilon^{(\lambda)}(k) \cdot \varepsilon^{(\lambda)*}(k)} = g_{\mu\nu}, \quad \varepsilon^{(\lambda)}(k) \cdot \varepsilon^{(\lambda')*}(k) = g^{\lambda\lambda'}.$$

Real photons convey only the *transverse* polarizations  $\varepsilon^\mu = (0, \boldsymbol{\varepsilon})$ , where  $\mathbf{k} \cdot \boldsymbol{\varepsilon} = 0$ . For  $\mathbf{k} \parallel \hat{\mathbf{z}}$ , the right- and left-handed polarization vectors are

$$\varepsilon^\mu = \frac{1}{\sqrt{2}} (0, 1, \pm i, 0).$$

## Photon: quantized radiation field

The equal-time commutation rules for the radiation field are

$$\begin{aligned}[A_\mu(\mathbf{x}), A_\nu(\mathbf{y})] &= [\dot{A}_\mu(\mathbf{x}), \dot{A}_\nu(\mathbf{y})] = 0, \\ [\dot{A}_\mu(\mathbf{x}), A_\nu(\mathbf{y})] &= ig_{\mu\nu}\delta^{(3)}(\mathbf{x} - \mathbf{y}).\end{aligned}$$

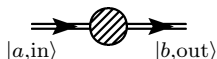
We can define the photon Feynman propagator as

$$\begin{aligned}ig_{\mu\nu}\Delta'_F(x-y) &\equiv \langle 0 | \hat{T} [A_\mu(x) A_\nu(y)] | 0 \rangle \\ &= \int \frac{d^4k}{(2\pi)^4} \frac{-ig_{\mu\nu}}{k^2 + i\epsilon} e^{-ik \cdot (x-y)} \\ (\text{arbitrary } \xi) &\Rightarrow \int \frac{d^4k}{(2\pi)^4} \left[ \frac{-ig_{\mu\nu}}{k^2 + i\epsilon} + \frac{1-\xi}{\xi} \frac{-ik_\mu k_\nu}{(k^2 + i\epsilon)^2} \right] e^{-ik \cdot (x-y)}.\end{aligned}$$

- ▶ Feynman gauge:  $\xi = 1$  and Landau gauge:  $\xi \rightarrow \infty$ .
- ▶ The longitudinal polarization state could be cured by introducing a fictitious photon mass  $\mu \rightarrow 0$ .

## A generic experiment

- ▶ A generic experiment is understood diagrammatically



- ▶ The amplitude  $\langle b, \text{out} | a, \text{in} \rangle$  describes the probability that  $|a\rangle$  will evolve *in* time and be measured in the  $|b\rangle$  state.
- ▶ For the incoming state  $|i, \text{in}\rangle$ , the transition probability to a final state  $|f, \text{out}\rangle$  is

$$w_{f \leftarrow i} = |\langle f, \text{out} | i, \text{in} \rangle|^2.$$

- ▶ There is a unitary operator,  $S^\dagger S = S S^\dagger = 1$ ,  $S$ -matrix:

$$\langle f, \text{out} | i, \text{in} \rangle = \langle f, \text{in} | S | i, \text{in} \rangle = \langle f, \text{out} | S | i, \text{out} \rangle,$$

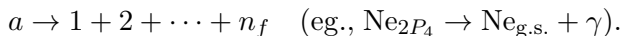
where  $S = 1 + i\tau$  and  $S^\dagger = 1 - i\tau$ , where the  $\tau$ -matrix contains the information on the interactions.

- ▶ The  $\tau$ -matrix is consist of the energy-momentum conservation and the *invariant matrix element*  $\mathcal{M}$ :

$$\langle f | i\tau | i \rangle = (2\pi)^4 \delta^{(4)}(P_i - P_f) \cdot i\mathcal{M}(i \rightarrow f).$$

## Total decay rate

Consider a reaction of decay



The transition probability per unit time is

$$w_{f \leftarrow i} = \frac{|S_{fi}|^2}{T}.$$

In a cubic box of volume  $V = L^3$  with infinitely high potential well, the differential transition probability is

$$dw_{f \leftarrow i} = \frac{1}{(2\pi)^{3n_f-4}} \frac{1}{2E_a} \delta^{(4)}(p_f - p_a) |\mathcal{M}_{fi}|^2 \prod_f \frac{d^3 p_f}{2E_f}.$$

The lifetime  $\tau_a (= \Gamma_a^{-1})$  is the inverse of the total decay width

$$\begin{aligned} \Gamma_a &= \sum_{n_f} \Gamma_{a \rightarrow \{n_f\}} = \sum_{n_f} w_{\{n_f\} \leftarrow a} \\ &= \frac{1}{2E_a} \frac{1}{(2\pi)^{3n_f-4}} \int \frac{d^3 p_1}{2E_1} \cdots \frac{d^3 p_{n_f}}{2E_{n_f}} \delta^{(4)}(p_f - p_i) |\mathcal{M}_{fi}|^2. \end{aligned}$$

## Differential cross section

Consider a scattering process

$$a + b \rightarrow 1 + 2 + \cdots + n_f \quad (\text{eg., } \text{Ne}_3\text{S}_2 + \gamma \rightarrow \text{Ne}_2\text{P}_4 + 2\gamma).$$

The transition rate (transition probability per unit time) density to one definite final state is

$$\bar{w}_{f \leftarrow i} = \lim_{V \rightarrow \infty} \frac{w_{f \leftarrow i}}{V} = (2\pi)^4 \delta^{(4)}(P_i - P_f) |\mathcal{M}_{fi}|^2.$$

The differential cross section (in Lab.) is defined as the transition rate density per target density ( $n_t$ ) per incident flux ( $F$ )

$$d\sigma_{fi} = \frac{\bar{w}_{f \leftarrow i}}{n_t F} \prod_{f=1}^{n_f} \frac{d^3 p_{f'}}{(2\pi)^3 2\omega_{p_{f'}}}.$$

The target density  $n_t = 2\omega_{p_2}$  and the flux  $F = 2\omega_{p_1} v_{\text{rel}}$  yield

$$d\sigma_{fi} = \frac{1}{2\omega_{p_1} 2\omega_{p_2} v_{\text{rel}}} \prod_{f=1}^{n_f} \frac{d^3 p_{f'}}{(2\pi)^3 2\omega_{p_{f'}}} (2\pi)^4 \delta^{(4)}(P_i - P_f) |\mathcal{M}_{fi}|^2.$$

## Interaction picture

- ▶ Let  $|\Omega\rangle$  be the ground state of the interacting theory.
- ▶ Let  $H_{\text{int}}(t) = \int d^3x \mathcal{H}_{\text{int}} = - \int d^3x \mathcal{L}_{\text{int}}$  be the interacting Hamiltonian and  $H = H_0 + \lambda H_{\text{int}}$  with  $0 \leq \lambda \leq 1$ .
- ▶ Let  $\phi(x) = e^{iHt} \phi(\mathbf{x}) e^{-iHt}$  be an Heisenberg picture field and for  $t \neq t_0$ ,  $\phi(t, \mathbf{x}) = e^{iH(t-t_0)} \phi(t_0, \mathbf{x}) e^{-iH(t-t_0)}$ .
- ▶ For  $\lambda = 0$ ,  $H$  becomes  $H_0$  and we can define an *interaction picture* field as

$$\phi(t, \mathbf{x})|_{\lambda=0} = e^{iH_0(t-t_0)} \phi(t_0, \mathbf{x}) e^{-iH_0(t-t_0)} \equiv \phi_I(t, \mathbf{x}).$$

- ▶ The full Heisenberg picture field  $\phi$  in terms of  $\phi_I$ :

$$\begin{aligned} \phi(t, \mathbf{x}) &= e^{iH(t-t_0)} \left\{ e^{iH_0(t-t_0)} \phi_I(t, \mathbf{x}) e^{-iH_0(t-t_0)} \right\} e^{-iH(t-t_0)} \\ &\equiv U^\dagger(t, t_0) \phi_I(t, \mathbf{x}) U(t, t_0), \end{aligned}$$

where we have defined the unitary operator

$$U(t, t_0) \equiv e^{iH_0(t-t_0)} e^{-iH(t-t_0)}.$$

# Unitary time-evolution operator

- ▶ The initial condition is  $U(t_0, t_0) = 1$ .
- ▶ The Schrödinger equation:

$$\begin{aligned}i \frac{\partial}{\partial t} U(t, t_0) &= e^{iH_0(t-t_0)} (H - H_0) e^{-itH(t-t_0)} \\ &= e^{iH_0(t-t_0)} (H_{\text{int}}) e^{-itH(t-t_0)} \\ &= \underbrace{e^{iH_0(t-t_0)} (H_{\text{int}}) e^{-itH_0(t-t_0)}}_{H_I(t)} \overbrace{e^{itH_0(t-t_0)} e^{-itH(t-t_0)}} \\ &= H_I(t) U(t, t_0).\end{aligned}$$

- ▶ We expand  $U \sim \exp(-iH_I t)$  as a power series in  $\lambda$ :

$$\begin{aligned}U(t, t_0) &= 1 + (-i) \int_{t_0}^t dt_1 H_I(t_1) \\ &\quad + \frac{(-i)^2}{2} \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 \hat{T} [H_I(t_1) H_I(t_2)] + \dots \\ &\equiv \hat{T} \left\{ \exp \left[ -i \int_{t_0}^t dt' H_I(t') \right] \right\}.\end{aligned}$$



## Interacting ground state

- ▶ The interacting ground state  $|\Omega\rangle$  is not  $|0\rangle$ ;  $\langle\Omega|0\rangle \neq 0$ .
- ▶  $E_0 \equiv \langle\Omega|H|\Omega\rangle$  with the zero of energy  $H_0|0\rangle = 0$ .
- ▶ When  $H|n\rangle = E_n|n\rangle$ ,

$$\begin{aligned} e^{-iHT}|0\rangle &= \sum_n e^{-iE_n T}|n\rangle\langle n|0\rangle \\ &= E^{-iE_0 T}|\Omega\rangle\langle\Omega|0\rangle + \underbrace{\sum_{n \neq 0} e^{-iE_n T}|n\rangle\langle n|0\rangle}_{\rightarrow 0}. \end{aligned}$$

- ▶ Since  $E_n > E_0$  for all  $n \neq 0$ , as we send  $T \rightarrow \infty(1 - i\epsilon)$

$$|\Omega\rangle = \lim_{T \rightarrow \infty(1-i\epsilon)} \left( e^{-iE_0 T} \langle\Omega|0\rangle \right)^{-1} e^{-iHT}|0\rangle.$$

- ▶ Since  $T$  is very large, we can shift it  $T \rightarrow \pm t_0$ :

$$|\Omega\rangle = \lim_{T \rightarrow \infty(1-i\epsilon)} \left( e^{-iE_0(t_0+T)} \langle\Omega|0\rangle \right)^{-1} U(t_0, -T)|0\rangle$$

$$\langle\Omega| = \lim_{T \rightarrow \infty(1-i\epsilon)} \langle 0| U(T, t_0) \left( e^{-iE_0(T-t_0)} \langle 0|\Omega\rangle \right)^{-1}.$$

## Two-point correlation in the interacting system

The normalization of the interacting ground state is

$$1 = \langle \Omega | \Omega \rangle = \left( |\langle 0 | \Omega \rangle|^2 e^{-iE_0(2T)} \right)^{-1} \langle 0 | U(T, t_0) U(t_0, T) | 0 \rangle.$$

Now we have the two-point correlation function:

$$\begin{aligned} & \langle \Omega | \hat{T} \{ \phi(x) \phi(y) \} | \Omega \rangle \\ &= \lim_{T \rightarrow \infty (1-i\epsilon)} \frac{\langle 0 | \hat{T} \left\{ \phi_I(x) \phi_I(y) \exp \left[ -i \int_{-T}^T dt H_I(t) \right] \right\} | 0 \rangle}{\langle 0 | \hat{T} \left\{ \exp \left[ -i \int_{-T}^T dt H_I(t) \right] \right\} | 0 \rangle} \end{aligned}$$

We need to evaluate the expressions of the form

$$\langle 0 | \hat{T} \{ \phi_I(x_1) \phi_I(x_2) \cdots \phi_I(x_n) \} | 0 \rangle.$$

Note that  $\langle 0 | \hat{T} \{ \phi_I(x) \phi_I(y) \} | 0 \rangle$  is just the Feynman propagator.

## Normal ordering

From now on we drop the subscript  $I$ .

We decompose  $\phi(x)$  into the positive- and negative-frequency parts:

$$\phi(x) = \phi^+(x) + \phi^-(x),$$

$$\phi^+(x) = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E_{\mathbf{p}}}} a_{\mathbf{p}} e^{-ip \cdot x}, \quad \phi^-(x) = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\sqrt{2E_{\mathbf{p}}}} a_{\mathbf{p}}^\dagger e^{+ip \cdot x}.$$

These decomposed fields satisfy

$$\phi^+(x) |0\rangle = 0 \quad \text{and} \quad \langle 0| \phi^-(x) = 0.$$

A normal ordering operator  $\hat{N}$  is defined as

$$\hat{N} (a_{\mathbf{p}} a_{\mathbf{k}}^\dagger) \equiv a_{\mathbf{k}}^\dagger a_{\mathbf{p}} \quad \Rightarrow \quad \hat{N} \{ \phi^+(x) \phi^-(y) \} = \phi^-(y) \phi^+(x).$$

So we, with  $[a_{\mathbf{k}}^\dagger, a_{\mathbf{p}}]_{\pm} = a_{\mathbf{k}}^\dagger a_{\mathbf{p}} \pm a_{\mathbf{p}} a_{\mathbf{k}}^\dagger$ , have identities

$$\langle 0| \hat{N} \{ \phi(x) \phi(y) \} |0\rangle = 0$$

$$\hat{T} \{ \phi(x) \phi(y) \} = \hat{N} \{ \phi(x) \phi(y) \} + \overline{\phi(x) \phi(y)}.$$

## Contractions and propagators

The contraction  $\overline{ab}$  is defined by the commutators:

- ▶ The contraction for the Klein-Gordon fields is defined by

$$\overline{\phi(x)\phi(y)} \equiv \begin{cases} [\phi^+(x), \phi^-(y)], & \text{for } x^0 > y^0; \\ [\phi^+(y), \phi^-(x)], & \text{for } y^0 > x^0. \end{cases}$$

$$\langle 0 | \hat{T} (\phi(x)\phi(y)) | 0 \rangle = \underbrace{\langle 0 | \hat{N} (\phi(x)\phi(y)) | 0 \rangle}_{=0} + \langle 0 | \overline{\phi(x)\phi(y)} | 0 \rangle$$

$$\overline{\phi(x)\phi(y)} = D_F(x - y) = \text{-----}$$

$$\overline{A^\mu(x)A^\nu(x)} = \Delta_F^{\mu\nu}(x - y) = \underset{\mu}{\sim} \underset{\nu}{\sim}$$

- ▶ The contraction for the Dirac field is defined by

$$\overline{\psi(x)\bar{\psi}(y)} \equiv \begin{cases} \{\psi^+(x), \bar{\psi}^-(y)\}, & \text{for } x^0 > y^0; \\ \{\bar{\psi}^+(y), \psi^-(x)\}, & \text{for } y^0 > x^0. \end{cases}$$

$$\langle 0 | \hat{T} (\psi(x)\bar{\psi}(y)) | 0 \rangle = \langle 0 | \hat{N} (\psi(x)\bar{\psi}(y)) | 0 \rangle + \langle 0 | \overline{\psi(x)\bar{\psi}(y)} | 0 \rangle$$

$$\overline{\psi(x)\bar{\psi}(y)} = S_F(x - y) = \longrightarrow$$

# Wick's theorem and connected diagrams

- ▶ For  $n$  field operators, we have an identity

$$\hat{T} [\phi(x_1) \phi(x_2) \cdots \phi(x_n)] = \hat{N} [\phi(x_1) \phi(x_2) \cdots \phi(x_n)] + \{\text{all possible contractions}\}.$$

which is known as *Wick's theorem*.

- ▶ The two-point correlation has the structure

$$\langle \Omega | \hat{T} \{ \phi(x) \phi(y) \} | \Omega \rangle = \frac{\text{Numerator}}{\text{Denominator}},$$

$$\begin{aligned} \text{Numerator} &= \left( \text{---}_{x \quad y} + \text{---}_{x \quad \bigcirc \quad y} + \cdots \right)_{\text{connected}} \\ &\quad \times \exp \left( \bigcirc + \bigcirc \bigcirc + \cdots \right) \\ \text{Denominator} &= \exp \left( \bigcirc + \bigcirc \bigcirc + \cdots \right), \\ &\quad \Downarrow \\ \langle \Omega | \hat{T} \{ \phi(x) \phi(y) \} | \Omega \rangle &= \left( \text{---}_{x \quad y} + \text{---}_{x \quad \bigcirc \quad y} + \cdots \right)_{\text{connected}}. \end{aligned}$$

## $S$ -matrix

$S$ -matrix is simply the time-evolution operator,  $\exp(-iHt)$ :

$$\langle f, \text{out} | S | i, \text{out} \rangle = \lim_{T \rightarrow \infty} \langle f, \text{out} | e^{-iH(2T)} | i, \text{out} \rangle.$$

To compute this quantity we consider the external states ( $|\Omega\rangle$ ):

$$|i, \text{out}\rangle \propto \lim_{T \rightarrow \infty(1-i\epsilon)} e^{-iHT} |i, 0\rangle.$$

The  $S$ -matrix will be of the form

$$\begin{aligned} & \lim_{T \rightarrow \infty(1-i\epsilon)} \langle f, 0 | e^{-iH(2T)} | i, 0 \rangle \\ & \propto \lim_{T \rightarrow \infty(1-i\epsilon)} \langle f, 0 | \hat{T} \left( \exp \left[ -i \int_{-T}^T dt H_I(t) \right] \right) | i, 0 \rangle \end{aligned}$$

Then the  $\tau$ -matrix (*cf.*,  $S = 1 + i\tau$ ) elements becomes

$$\begin{aligned} \langle f, \text{out} | i\tau | i, \text{out} \rangle &= (2\pi)^4 \delta^{(4)}(P_i - P_f) \cdot i\mathcal{M}(i \rightarrow f) \\ &= \lim_{T \rightarrow \infty(1-i\epsilon)} \left( \langle f, 0 | \hat{T} \left( \exp \left[ -i \int_{-T}^T dt H_I(t) \right] \right) | i, 0 \rangle \right) \end{aligned} \quad \begin{array}{l} \text{connected} \\ \text{amputated} \end{array}.$$

# Coulomb interaction

The  $\mathcal{M}$  matrix element of the Coulomb interaction in the leading order is

$$\begin{aligned} i\mathcal{M} &= \text{Diagram} \\ &= \bar{u}(p_1') (-ie_0 \gamma^\mu) u(p_1) \frac{-ig_{\mu\nu}}{k^2} \bar{u}(p_2') (-ie_0 \gamma^\nu) u(p_2) \\ &= (-ie_0)^2 \bar{u}(p_1') \gamma^\mu u(p_1) \frac{-ig_{\mu\nu}}{k^2} \bar{u}(p_2') \gamma^\nu u(p_2). \end{aligned}$$

This is known as the first part of the Møller scattering.

## Bhabha scattering

The Bhabha scattering is a deformed Møller scattering:

$$\begin{aligned} i\mathcal{M} &= \text{Diagram} \\ &= \bar{u}(p_1') (-ie_0\gamma^\mu) u(p_1) \frac{-ig_{\mu\nu}}{k^2} v(p_2') (-ie_0\gamma^\nu) \bar{v}(p_2) \\ &= (-ie_0)^2 \bar{u}(p_1') \gamma^\mu u(p_1) \frac{-ig_{\mu\nu}}{k^2} v(p_2') \gamma^\nu \bar{v}(p_2). \end{aligned}$$

- ▶ The electrons-2 travels in reverse-time order  $T^{-1}$ .
- ▶ The  $CPT$  symmetry  $\rightarrow (CP)^{-1}$ .
- ▶ The negative-energy electron is known as *positron*.



# Compton scattering

The Compton scattering contains two diagrams,

$$\begin{aligned}
 i\mathcal{M} &= \text{Diagram 1} + \text{Diagram 2} \\
 &= \bar{u}(p') \epsilon_\mu^*(k') (-ie_0 \gamma^\mu) \frac{i(\not{p} + \not{k} + m_0)}{(p+k)^2 - m_0^2} (-ie_0 \gamma^\nu) \epsilon_\nu(k) u(p) \\
 &\quad + \bar{u}(p') (-ie_0 \gamma^\nu) \epsilon_\nu(k) \frac{i(\not{p} - \not{k}' + m_0)}{(p-k)^2 - m_0^2} \epsilon_\mu^*(k') (-ie_0 \gamma^\mu) u(p).
 \end{aligned}$$

# Compton scattering

The numerators and denominators can be simplified as follows:

- ▶ Since  $p^2 = m_0^2$  and  $k^2 = 0$ , the denominators are

$$\begin{aligned}(p+k)^2 - m_0^2 &= 2p \cdot k \quad \text{in } i\mathcal{M}_1 \\ (p-k')^2 - m_0^2 &= -2p \cdot k \quad \text{in } i\mathcal{M}_2.\end{aligned}$$

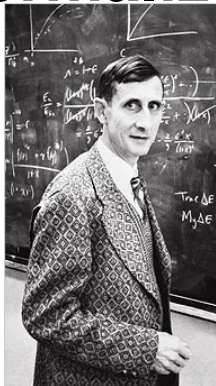
- ▶ For numerators, we use a bit of Dirac algebra:

$$\begin{aligned}(\not{p} + m_0) \gamma^\nu u(p) &= (2p^\nu - \gamma^\nu \not{p} + \gamma^\nu m_0) u(p) \\ &= 2p^\nu u(p) - \gamma^\nu (\not{p} - m_0) u(p) \\ &= 2p^\nu u(p),\end{aligned}$$

We obtain

$$\begin{aligned}i\mathcal{M} &= ie_0^2 \epsilon_\mu^* (k') \epsilon_\nu (k) \\ &\quad \times \bar{u}(p') \left[ \frac{\gamma^\mu \not{k} \gamma^\nu + 2\gamma^\mu p^\nu}{2p \cdot k} + \frac{-\gamma^\nu \not{k}' \gamma^\mu + 2\gamma^\nu p^\mu}{-2p \cdot k'} \right] u(p).\end{aligned}$$

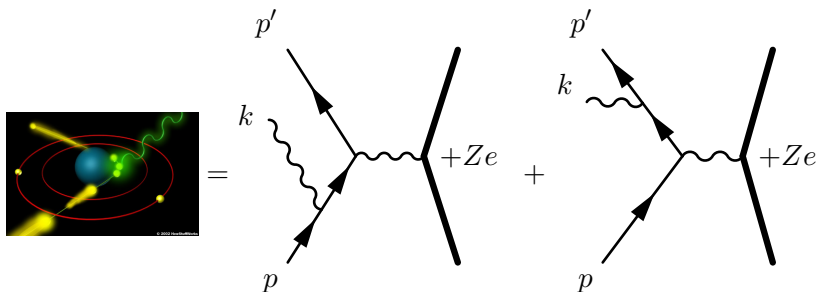
# Renormalization



The laws of nature are constructed in such a way as to make the universe as interesting as possible. – Freeman Dyson.

# Soft Bremsstrahlung

Bremsstrahlung = Bremsen (to break) + Strahlung (radiation).



For the soft photon radiation,  $|\mathbf{k}| \ll |\mathbf{p}' - \mathbf{p}|$ ,

$$\mathcal{M}_0(p', p - k) \approx \mathcal{M}_0(p' + k, p) \approx \mathcal{M}_0(p', p)$$

$$i\mathcal{M} = -ie_0 \bar{u}(p') [\mathcal{M}_0(p', p)] u(p) \left[ e_0 \left( \frac{p' \cdot \epsilon^*}{p' \cdot k} - \frac{p \cdot \epsilon^*}{p \cdot k} \right) \right]$$

## Soft Bremsstrahlung

The differential cross section is then

$$d\sigma(p \rightarrow p' + \gamma) = d\sigma(p \rightarrow p') \times \int \frac{d^3k}{(2\pi)^3} \frac{1}{2k} \sum_{\lambda=1,2} e_0^2 \left| \frac{p' \cdot \epsilon^{(\lambda)}}{p' \cdot k} - \frac{p \cdot \epsilon^{(\lambda)}}{p \cdot k} \right|^2.$$

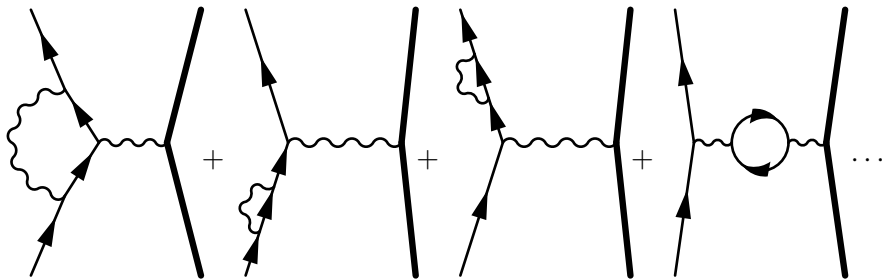
The differential probability becomes

$$dP(p \rightarrow p' + \gamma(k)) = \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \frac{e_0^2}{2k} \left| \epsilon_{\lambda} \cdot \left( \frac{\mathbf{p}'}{p' \cdot k} - \frac{\mathbf{p}}{p \cdot k} \right) \right|^2.$$

The total probability, for *soft* photons  $0 \leq k \leq |\mathbf{q}| = |\mathbf{p}' - \mathbf{p}|$ , and the differential cross section with fictitious photon mass  $\mu$ , are

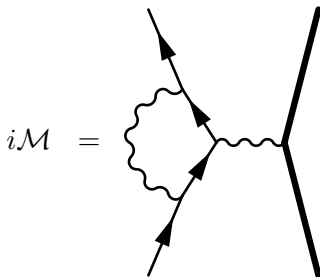
$$P \approx \int_0^{|\mathbf{q}|} dk \frac{1}{k} \mathcal{I}(\mathbf{v}, \mathbf{v}') \sim \log\left(\frac{-q^2}{\mu^2}\right) \rightarrow \infty,$$
$$d\sigma(p \rightarrow p' + \gamma) \underset{-q^2 \rightarrow \infty}{\propto} \frac{\alpha_0}{\pi} \log\left(\frac{-q^2}{\mu^2}\right) \log\left(\frac{-q^2}{m_0^2}\right).$$

# Radiative corrections



There are three classes of the radiative corrections;  
Vertex corrections, Self-energies, and Polarizations.

## Vertex corrections



$$i\mathcal{M} =$$

$$i\mathcal{M} (2\pi) \delta(p'^0 - p^0) = -ie_0 (\bar{u}(p') \Gamma^\mu(p', p) u(p)) \cdot \tilde{A}_\mu^{\text{cl}}(p' - p).$$

To lowest order,  $\Gamma^\mu = \gamma^\mu$ .

We may express  $\Gamma^\mu$  in a symmetrical form:

$$\Gamma^\mu = \gamma^\mu A + (p'^\mu + p^\mu) B + (p'^\mu - p^\mu) C.$$

## Vertex corrections

Using the Gordon identity

$$\bar{u}(p') \gamma^\mu u(p) = \bar{u}(p') \left[ \frac{p'^\mu + p^\mu}{2m_0} + \frac{i\sigma^{\mu\nu} q_\nu}{2m_0} \right] u(p),$$

we have

$$\Gamma^\mu(p', p) = \gamma^\mu F_1(q^2) + i \frac{\sigma^{\mu\nu} q_\nu}{2m_0} F_2(q^2),$$

where the unknown functions  $F_1$  and  $F_2$  are called *form factors*.  
To lowest order,  $F_1 = 1$  and  $F_2 = 0$ .

- ▶ When  $\tilde{A}_\mu^{\text{cl}}(x) = ((2\pi) \delta(q^0) \phi(\mathbf{q}), \mathbf{0})$ ,

$$\begin{aligned} i\mathcal{M} &= -ie_0 \bar{u}(p') \Gamma^0(p', p) u(p) \cdot \tilde{\phi}(\mathbf{q}) \\ &\underset{\mathbf{q} \rightarrow 0}{=} -ie_0 F_1(0) \tilde{\phi}(\mathbf{q}) \cdot 2m_0 \xi'^\dagger \xi \end{aligned}$$

$$V(\mathbf{x}) = e_0 F_1(0) \phi(\mathbf{x}).$$



## Vertex corrections

- ▶ When  $A_{\mu}^{\text{cl}}(x) = (0, \mathbf{A}^{\text{cl}}(\mathbf{x}))$ ,

$$i\mathcal{M} = +ie_0 \left[ \bar{u}(p') \left( \gamma^i F_1 + \frac{i\sigma^{i\nu} q_{\nu}}{2m_0} F_2 \right) u(p) \right] \tilde{A}_{\text{cl}}^i(\mathbf{q}).$$

Again the expression in brackets vanishes at  $\mathbf{q} = 0$ , so in this limit

$$i\mathcal{M} = -i(2m_0) \cdot e_0 \xi'^{\dagger} \left( \frac{-1}{2m_0} \sigma^k [F_1(0) + F_2(0)] \right) \xi \tilde{B}^k(\mathbf{q}),$$

where  $\tilde{B}^k(\mathbf{q}) = -i\epsilon^{ijk} q^i \tilde{A}_{\text{cl}}^j(\mathbf{q})$ . This is just that of a magnetic moment interaction  $V(\mathbf{x}) = -\langle \boldsymbol{\mu} \rangle \cdot \mathbf{B}(\mathbf{x})$ , where

$$\langle \boldsymbol{\mu} \rangle = \frac{e_0}{m_0} [F_1(0) + F_2(0)] \xi'^{\dagger} \frac{\boldsymbol{\sigma}}{2} \xi,$$

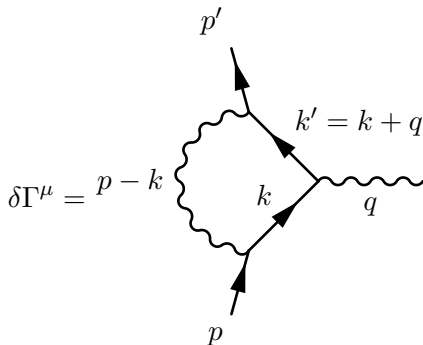
$$\boldsymbol{\mu} = g \left( \frac{e_0}{2m_0} \right) \mathbf{S},$$

where the *Landé g-factor* is

$$g = 2 [F_1(0) + F_2(0)] = 2 + 2F_2(0).$$

## Vertex corrections

To one-loop order, the vertex function  $\Gamma^\mu = \gamma^\mu + \delta\Gamma^\mu$ :



where

$$\bar{u}(p') \delta\Gamma^\mu(p', p) u(p) = 2ie_0^2 \int \frac{d^4k}{(2\pi)^4} \frac{\bar{u}(p') [\not{k}\gamma^\mu\not{k}' + m_0^2\gamma^\mu - 2m_0(k+k')^\mu] u(p)}{\left((k-p)^2 + i\epsilon\right) (k'^2 - m_0^2 + i\epsilon) (k^2 - m_0^2 + i\epsilon)}.$$

## Vertex corrections

The Feynman parameterization

$$\begin{aligned}\frac{1}{AB} &= \int_0^1 dx \frac{1}{[xA + (1-x)B]^2} \\ &= \int_0^1 dx dy \delta(x+y-1) \frac{1}{[xA + yB]^2}\end{aligned}$$

simplifies the denominator  $D$  to

$$\begin{aligned}D &= l^2 - \Delta + i\epsilon \\ l &\equiv k + yq - zp, \\ \Delta &\equiv -xyq^2 + (1-z)^2 m_0^2 > 0.\end{aligned}$$

The numerator will be

$$N = \bar{u}(p') \left[ \begin{array}{l} \gamma^\mu \cdot \left( -\frac{1}{2}l^2 + (1-x)(1-y)q^2 + (1-2z-z^2)m_0^2 \right) \\ + (p'^\mu + p^\mu) \cdot m_0 z(z-1) \\ + q^\mu \cdot m_0(z-2)(x-y) \end{array} \right] u(p).$$

## Vertex corrections

Using the Gordon identity again, we have an entire expression

$$\begin{aligned} \bar{u}(p') \delta\Gamma^\mu(p', p) u(p) = & \\ & 2ie_0^2 \int \frac{d^4l}{(2\pi)^4} \int_0^1 dx dy dz \delta(x+y+z-1) \frac{2}{D^3} \\ & \times \bar{u}(p') \left[ \gamma^\mu \cdot \left( -\frac{1}{2}l^2 + (1-x)(1-y)q^2 + (1-4z+z^2)m_0^2 \right) \right. \\ & \left. + \frac{i\sigma^{\mu\nu}q_\nu}{2m_0} (2m_0^2 z(1-z)) \right] u(p). \end{aligned}$$

There are two classes of integrations:

$$\underbrace{\int \frac{d^4l}{(2\pi)^4} \frac{1}{(l^2 - \Delta)^n}}_{\text{convergent}} \quad \text{and} \quad \underbrace{\int \frac{d^4l}{(2\pi)^4} \frac{l^2}{(l^2 - \Delta)^n}}_{\text{divergent for } n \leq 3}.$$

## Pauli-Villars regularization

We introduce *ad hoc* a cut-off  $\Lambda (\rightarrow \infty)$  in the photon propagators:

$$\frac{1}{(k^2 - p^2) + i\epsilon} \rightarrow \frac{1}{(k^2 - p^2) + i\epsilon} - \frac{1}{(k^2 - p^2) - \Lambda^2 + i\epsilon}$$

so the denominator is altered as

$$\Delta \rightarrow \Delta_\Lambda = -xyq^2 + (1-z)^2 m_0^2 + z\Lambda^2.$$

Then the divergent integral is replaced by convergent ones:

$$\int \frac{d^4 l}{(2\pi)^4} \left( \frac{l^2}{(l^2 - \Delta)^3} - \frac{l^2}{(l^2 - \Delta_\Lambda)^3} \right) = \frac{i}{(4\pi)^2} \log \left( \frac{\Delta_\Lambda}{\Delta} \right),$$

which looks like  $(\infty - \infty_\Lambda) \propto \log(\Delta_\Lambda/\Delta)$ .

- ▶ How can this result affect on  $F_1(q^2)$  and  $F_2(q^2)$  with  $F_1(0) = 1$ ?

## The convergent form factor $F_2$

The form factor  $F_2$  is corrected to order  $\alpha_0 (= e_0^2/4\pi\hbar c)$

$$F_2(q^2) = \frac{\alpha_0}{2\pi} \int_0^1 dx dy dz \delta(x+y+z-1) \left[ \frac{2m_0^2 z(1-z)}{m_0^2(1-z)^2 - q^2 xy} \right]$$

is convergent especially for  $q^2 = 0$ , such that

$$\begin{aligned} F_2(q^2 = 0) &= \frac{\alpha_0}{2\pi} \int_0^1 dx dy dz \delta(x+y+z-1) \frac{2m_0^2 z(1-z)}{m_0^2(1-z)^2} \\ &= \frac{\alpha_0}{\pi} \int_0^1 dz \int_0^{1-z} dy \frac{z}{1-z} = \frac{\alpha_0}{2\pi}. \end{aligned}$$

We get a correction to the  $g$ -factor of the electron:

$$a_e \equiv \frac{g-2}{2} = \frac{\alpha_0}{2\pi} \underset{(\alpha_0 \doteq \alpha)}{\approx} 0.0011614.$$

Experiments give  $a_e^{\text{exp}} = 0.0011597$ , which differs by  $\approx 0.15\%$ .

## Infrared divergence

The divergent form factor  $F_1(q^2)$  is corrected to

$$F_1(q^2) = 1 + \frac{\alpha_0}{2\pi} \int_0^1 dx dy dz \delta(x+y+z-1) \\ \times \left[ \log \left( \frac{m_0^2 (1-z)^2}{m_0^2 (1-z)^2 - q^2 xy} \right) \right. \\ \left. + \frac{m_0^2 (1-4z+z^2) + q^2 (1-x)(1-y)}{m_0^2 (1-z)^2 - q^2 xy + \mu^2 z} \right. \\ \left. - \frac{m_0^2 (1-4z+z^2)}{m_0^2 (1-z)^2 + \mu^2 z} \right],$$

where  $\mu$  is the fictitious photon mass.

In the limit  $\mu \rightarrow 0$ , we may obtain

$$F_1(-q^2 \rightarrow \infty) = 1 - \frac{\alpha_0}{2\pi} \log \left( \frac{-q^2}{m_0^2} \right) \log \left( \frac{-q^2}{\mu^2} \right).$$

## What did we have made mistake?

- ▶ The  $S$ -matrix theory

$$\langle \Omega | \hat{T} \phi(x_1) \phi(x_2) \cdots | \Omega \rangle = \sum \left( \begin{array}{l} \text{connected} \\ \text{amputated} \end{array} \right)$$

is based on the completeness of the *normalized* interacting ground state  $|\Omega\rangle$ :

$$\mathbf{1} = |\Omega\rangle \langle \Omega|$$

from the free vacuum  $|0\rangle$ .

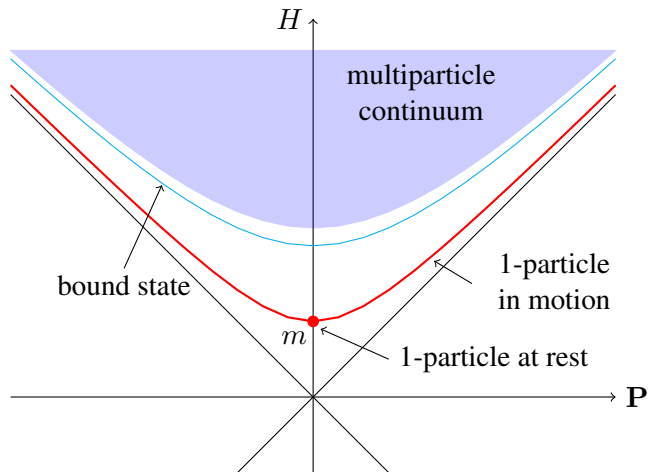
- ▶ Let  $H|\lambda_0\rangle = \lambda_0|\lambda_0\rangle$ , but  $\mathbf{P}|\lambda_0\rangle = 0$ .
- ▶ Let  $|\lambda_{\mathbf{p}}\rangle$  be the *boosts* of  $|\lambda_0\rangle$  with  $E_{\mathbf{p}}(\lambda) \equiv \sqrt{|\mathbf{p}|^2 + m_\lambda^2}$ .
- ▶ The desired completeness relation will be

$$\mathbf{1} = |\Omega\rangle \langle \Omega| + \sum_{\lambda} \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_{\mathbf{p}}(\lambda)} |\lambda_{\mathbf{p}}\rangle \langle \lambda_{\mathbf{p}}|.$$

- ▶ Accordingly we need to normalize  $|\Omega\rangle$  *again*:  
 $\Rightarrow$  **Renormalization**.



# The particle dispersion



The eigenvalues of  $P^\mu = (H, \mathbf{P})$  of particle mass  $m$ .

## Renormalization

Assume  $x^0 > y^0$  and drop off  $\langle \Omega | \phi(x) | \Omega \rangle \langle \Omega | \phi(y) | \Omega \rangle (= 0)$ .

The two-point correlation function is

$$\langle \Omega | \phi(x) \phi(y) | \Omega \rangle = \sum_{\lambda} \int \frac{d^3 p}{(2\pi)^3} \frac{1}{2E_{\mathbf{p}}(\lambda)} \langle \Omega | \phi(x) | \lambda_{\mathbf{p}} \rangle \langle \lambda_{\mathbf{p}} | \phi(y) | \Omega \rangle .$$

The matrix element

$$\begin{aligned} \langle \Omega | \phi(x) | \lambda_{\mathbf{p}} \rangle &= \langle \Omega | e^{iP \cdot x} \phi(0) e^{-iP \cdot x} | \lambda_{\mathbf{p}} \rangle \\ &= \langle \Omega | \phi(0) | \lambda_{\mathbf{p}} \rangle e^{-ip \cdot x} \Big|_{p^0 = E_{\mathbf{p}}} \\ &= \langle \Omega | \phi(0) | \lambda_0 \rangle e^{-ip \cdot x} \Big|_{p^0 = E_{\mathbf{p}}} . \end{aligned}$$

The two-point correlation function becomes for  $x^0 > y^0$

$$\langle \Omega | \phi(x) \phi(y) | \Omega \rangle = \sum_{\lambda} \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 - m_{\lambda}^2 + i\epsilon} e^{-ip \cdot (x-y)} |\langle \Omega | \phi(0) | \lambda_0 \rangle|^2 .$$

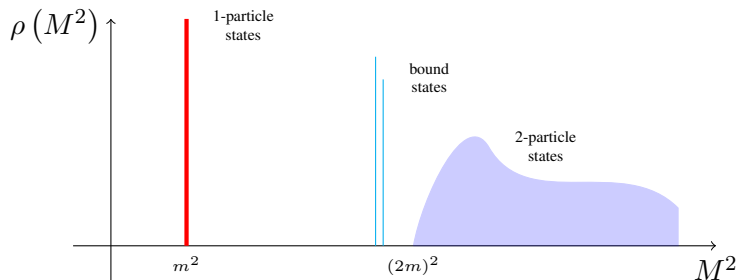
# Källén-Lehmann representation

For both cases of  $x^0 > y^0$  and  $y^0 > x^0$ , we have

$$\langle \Omega | \hat{T} \phi(x) \phi(y) | \Omega \rangle = \int_0^\infty \frac{dM^2}{2\pi} \rho(M^2) D_F(x-y; M^2),$$

where  $\rho(M^2)$  is a positive *spectral density*,

$$\rho(M^2) = \sum_\lambda (2\pi) \delta(M^2 - m_\lambda^2) |\langle \Omega | \phi(0) | \lambda_0 \rangle|^2.$$



# Field-strength renormalization

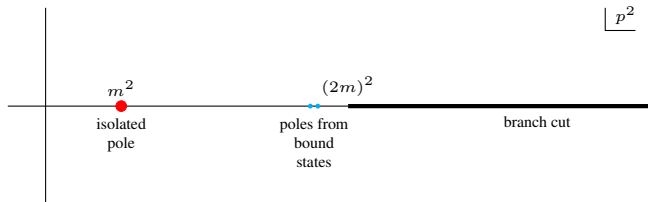
The spectral density is

$$\rho(M^2) = 2\pi\delta(M^2 - m^2)Z + \left(\text{nothing else for } M^2 \lesssim (2m)^2\right),$$

where  $Z$  is referred as *field-strength renormalization*.

The Fourier transform of the two-point correlation becomes

$$\begin{aligned} \int d^4x e^{ip \cdot x} \langle \Omega | \hat{T} \phi(x) \phi(0) | \Omega \rangle &= \int_0^\infty \frac{dM^2}{2\pi} \rho(M^2) \frac{i}{p^2 - M^2 + i\epsilon} \\ &= \frac{iZ}{p^2 - m^2 + i\epsilon} + \int_{\sim(2m)^2}^\infty \frac{dM^2}{2\pi} \rho(M^2) \frac{i}{p^2 - M^2 + i\epsilon}. \end{aligned}$$





# The electron self-energy

We have the explicit form of the electron self-energy:

$$-i\Sigma_2(p) = (-ie_0)^2 \int \frac{d^4k}{(2\pi)^2} \gamma^\mu \frac{i(\not{k} + m_0)}{k^2 - m_0^2 + i\epsilon} \gamma^\mu \frac{-i}{(p-k)^2 - \mu^2 + i\epsilon},$$

where we regulate it by adding a small *photon mass*  $\mu$ .

We use the Feynman parametrization and shift the momentum

$l = k - xp$  to get

$$-i\Sigma_2(p) = -e_0^2 \int_0^1 dx \int \frac{d^4l}{(2\pi)^3} \frac{-2x\not{p} + 4m_0}{(l^2 - \Delta + i\epsilon)^2},$$

where  $\Delta = -x(1-x)p^2 + x\mu^2 + (1-x)m_0^2$ .

We regulate it by the Pauli-Villars procedure:

$$\frac{1}{(p-k)^2 - \mu^2 + i\epsilon} \rightarrow \frac{1}{(p-k)^2 - \mu^2 + i\epsilon} - \frac{1}{(p-k)^2 - \Lambda^2 + i\epsilon}.$$

## The electron self-energy

Introducing  $\Delta_\Lambda = -x(1-x)p^2 + x\Lambda^2(1-x)m_0^2 \xrightarrow{\Lambda \rightarrow \infty} x\Lambda^2$ ,  
we have

$$\Sigma_2(p) = \frac{\alpha_0}{2\pi} \int_0^1 dx (2m_0 - x\not{p}) \log \left( \frac{x\Lambda^2}{(1-x)m_0^2 + x\mu^2 - x(1-x)p^2} \right).$$

The logarithm of  $x$  has a branch cut beginning at the point where

$$(1-x)m_0^2 + x\mu^2 - x(1-x)p^2 = 0,$$

$$x = \frac{1}{2} + \frac{m_0^2}{2p^2} - \frac{\mu^2}{2p^2} \pm \frac{1}{2p^2} \sqrt{\left(p^2 - (m_0 + \mu)^2\right) \left(p^2 - (m_0 - \mu)^2\right)}. \quad \text{or}$$

The branch cut of  $\Sigma_2(p^2)$  begins at  $p^2 = (m_0 + \mu)^2$ ,  
two-particle threshold.

- ▶ Where is the simple pole at  $p^2 = m^2$ ?

# The electron self-energy

The two-point correlation function is written as

$$\begin{aligned} \text{Diagram} &= \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots \\ &= \frac{i(\not{p} + m_0)}{p^2 + m_0^2} + \frac{i(\not{p} + m_0)}{p^2 + m_0^2} (-i\Sigma) \frac{i(\not{p} + m_0)}{p^2 + m_0^2} \\ &\quad + \frac{i(\not{p} + m_0)}{p^2 + m_0^2} (-i\Sigma) \frac{i(\not{p} + m_0)}{p^2 + m_0^2} (-i\Sigma) \frac{i(\not{p} + m_0)}{p^2 + m_0^2} + \dots \\ &= \frac{i}{\not{p} - m_0} + \frac{i}{\not{p} - m_0} \left( \frac{\Sigma(\not{p})}{\not{p} - m_0} \right) + \frac{i}{\not{p} - m_0} \left( \frac{\Sigma(\not{p})}{\not{p} - m_0} \right)^2 + \dots \\ &= \frac{i}{\not{p} - m_0 - \Sigma(\not{p})}. \end{aligned}$$

Hence  $(\not{p} - m_0 - \Sigma(\not{p})) \Big|_{\not{p}=m} = 0$

gives us the simple pole at the *physical mass*,  $m = m_0 + \Sigma(\not{p})$ .



# Mass renormalization

In the vicinity of the pole,  $\not{p} - m_0 - \Sigma(\not{p})$  has the form

$$(\not{p} - m) \cdot \left( 1 - \left. \frac{d\Sigma(\not{p})}{d\not{p}} \right|_{\not{p}=m} \right) + \mathcal{O}\left((\not{p} - m)^2\right).$$

When we write the two-point correlation function as

$$\int d^4x e^{ip \cdot x} \langle \Omega | \hat{T} \psi(x) \bar{\psi}(0) | \Omega \rangle = \frac{iZ_2(\not{p} + m)}{p^2 - m^2 + i\epsilon},$$

we obtain the *mass renormalization constant* to be

$$Z_2^{-1} = 1 - \left. \frac{d\Sigma(\not{p})}{d\not{p}} \right|_{\not{p}=m}.$$

## Mass renormalization

To order  $\alpha_0$ , the mass shift is

$$\delta m = m - m_0 = \Sigma_2(\not{p} = m) \approx \Sigma_2(\not{p} = m_0).$$

Then the mass shift is

$$\delta m = \frac{\alpha_0}{2\pi} m_0 \int_0^1 dx (1-x) \log \left( \frac{x\Lambda^2}{(1-x)^2 m_0^2 + x\mu^2} \right),$$

which is ultraviolet divergent  $\mathcal{O}(\log \Lambda^2)$  for  $\Lambda \rightarrow \infty$ .

The correction for  $Z_2$  in order  $\alpha_0$  is calculated to be

$$\begin{aligned} \delta Z_2 &= \left. \frac{d\Sigma_2}{d\not{p}} \right|_{\not{p}=m} \\ &= \frac{\alpha_0}{2\pi} \int_0^1 dx \left[ -x \log \frac{x\Lambda^2}{(1-x)^2 m^2 + x\mu^2} \right. \\ &\quad \left. + 2(2-x) \frac{x(1-x)m^2}{(1-x)^2 m^2 + x\mu^2} \right]. \end{aligned}$$

¶ The *small* correction to mass  $m_0$  is *infinite!*

## Mass renormalization

One can show that the *exact* vertex should be read

$$Z_2 \Gamma^\mu(p', p) = \gamma^\mu F_1(q^2) + \frac{i\sigma^{\mu\nu} q_\nu}{2m} F_2(q^2).$$

The left-hand side of the exact vertex function becomes

$$Z_2 \Gamma^\mu = (1 + \delta Z_2) (\gamma^\mu + \delta \Gamma^\mu) = \gamma^\mu + \delta \Gamma^\mu + \gamma^\mu \delta Z_2,$$

while in the right-hand side  $F_1(q^2)$  becomes

$$F_1(q^2) = 1 + \delta F_1(q^2) + \delta Z_2 = 1 + [\delta F_1(q^2) - \delta F_1(0)],$$

if  $\delta Z_2 = -\delta F_1(0)$ .

Define another rescaling factor  $Z_1$  by the relation

$$\Gamma^\mu(q=0) = Z_1^{-1} \gamma^\mu,$$

where  $\Gamma^\mu$  is the complete amputated vertex function.

## Mass renormalization

However, the divergent part of the vertex correction is

$$\begin{aligned}\delta F_1(0) &= \frac{\alpha_0}{2\pi} \int_0^1 dx dy dz \delta(x + y + z - 1) \\ &\quad \times \left[ \log \left( \frac{z\Lambda^2}{(1-z)^2 m^2 + z\mu^2} \right) + \frac{(1-4z+z^2)m^2}{(1-z)^2 m^2 + z\mu^2} \right] \\ &= \frac{\alpha_0}{2\pi} \int_0^1 dz (1-z) \\ &\quad \times \left[ \log \left( \frac{z\Lambda^2}{(1-z)^2 m^2 + z\mu^2} \right) + \frac{(1-4z+z^2)m^2}{(1-z)^2 m^2 + z\mu^2} \right].\end{aligned}$$

We can show that  $\delta F_1(0) + \delta Z_2 = 0$ .

To find  $F_1(0) = 1$ , we must provide the identity  $Z_1 = Z_2$ , so that the vertex rescaling *exactly* compensates the electron field-strength renormalization.

¶ The understanding of mass is postponed.

# Vacuum polarization

Photon is dressed in order  $e_0^2$

$$\begin{aligned}
 i\Pi_2^{\mu\nu}(q) &\equiv \text{Diagram: } \mu \text{ wavy line } (q) \text{ --- } \text{Circle loop} \text{ --- } \nu \text{ wavy line } (q) \\
 &\quad \text{Top of circle: } k+q, \text{ Bottom: } k \\
 &= (-1)(-ie_0)^2 \int \frac{d^4k}{(2\pi)^4} \text{tr} \left[ \gamma^\mu \frac{i}{\not{k} - m} \gamma^\nu \frac{i}{\not{k} + \not{q} - m} \right].
 \end{aligned}$$

Generally the polarized photon propagator is defined by

$$i\Pi^{\mu\nu}(q) \equiv \text{Diagram: } \mu \text{ wavy line } (q) \text{ --- } \text{Hatched circle} \text{ --- } \nu \text{ wavy line } (q)$$

As for the electron self-energy the polarization decomposes

$$\text{Diagram: } \mu \text{ wavy line } (q) \text{ --- } \text{Hatched circle} \text{ --- } \nu \text{ wavy line } (q) = \text{Diagram: } \mu \text{ wavy line } + \text{Diagram: } \mu \text{ wavy line --- Circle --- wavy line } + \text{Diagram: } \mu \text{ wavy line --- Circle --- Circle --- wavy line } + \dots$$

## Ward-Takahashi identity

The gauge invariance of radiation field leads the charge conservation ( $q_\mu \mathcal{M}^\mu(q) = 0$ ) in such a way that

$$q_\mu \cdot \left( \begin{array}{c} \mu \\ \text{---} q \\ \text{---} p \\ \text{---} p+q \end{array} \right) = e_0 \left( \begin{array}{c} \text{---} p \\ \text{---} p \\ \text{---} p+q \\ \text{---} p+q \end{array} \right) .$$

This identity is known as the *Ward-Takahashi identity*:

$$-iq_\mu \Gamma^\mu(p+q, p) = S^{-1}(p+q) - S^{-1}(p) .$$

We defined  $Z_1$  and  $Z_2$  by the relations

$$\Gamma^\mu(p+q, p) \rightarrow Z_1^{-1} \gamma^\mu \text{ as } q \rightarrow 0 \text{ and } S(p) \sim \frac{iZ_2}{\not{p} - m} .$$

Setting  $p$  near mass shell and expanding the Ward-Takahashi identity about  $q = 0$ , we find

$$-iZ_1^{-1} \not{q} = -iZ_2^{-1} \not{q} \Rightarrow Z_1 = Z_2 .$$

# Charge renormalization

- ▶ The Ward-Takahashi identity tells us that  $q_\mu \Pi^{\mu\nu} = 0$ .
- ▶ In other words,  $\Pi^{\mu\nu} \propto (g^{\mu\nu} - q^\mu q^\nu / q^2)$ .
- ▶ Furthermore, we can expect  $\Pi^{\mu\nu}(q)$  will not have a pole at  $q^2 = 0$ .
- ▶ It is convenient to write

$$\Pi^{\mu\nu}(q) = (q^2 g^{\mu\nu} - q^\mu q^\nu) \Pi(q^2),$$

where  $\Pi(q^2)$  is regular at  $q^2 = 0$ .

The exact photon two-point correlation function is

$$\begin{aligned} \text{Diagram} &= \frac{-ig_{\mu\nu}}{q^2} + \frac{-ig_{\mu\nu}}{q^2} [i(q^2 g^{\rho\sigma} - q^\rho q^\sigma) \Pi(q)] \frac{-ig_{\sigma\nu}}{q^2} + \dots \\ &= \frac{-ig_{\mu\nu}}{q^2} + \frac{-ig_{\mu\rho}}{q^2} \Delta_\nu^\rho \Pi(q^2) + \frac{-ig_{\mu\rho}}{q^2} \Delta_\sigma^\rho \Delta_\nu^\sigma \Pi^2(q^2) + \dots \end{aligned}$$

where  $\Delta_\nu^\rho \equiv \delta_\nu^\rho - q^\rho q_\nu / q^2$  and  $\Delta_\sigma^\rho \Delta_\nu^\sigma = \Delta_\nu^\rho$ .

# Charge renormalization

- ▶ We can simplify further

$$\begin{aligned} \text{Diagram} &= \frac{-i}{q^2 (1 - \Pi(q^2))} \left( g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) + \frac{-i}{q^2} \left( \frac{q_\mu q_\nu}{q^2} \right) \\ &= \frac{-i g_{\mu\nu}}{q^2 (1 - \Pi(q^2))} (\because q_\mu \mathcal{M}^\mu(q) = 0). \end{aligned}$$

- ▶ As long as  $\Pi(q^2)$  is regular at  $q^2 = 0$ , the exact propagator always has a pole at  $q^2 = 0$ .
- ▶ In other words, the photon remains absolutely *massless* at all orders in the perturbation theory.
- ▶ The residue of the  $q^2 = 0$  pole is

$$\frac{1}{1 - \Pi(0)} \equiv Z_3.$$



## Charge renormalization

- ▶ Since the scattering amplitude will be shifted by

$$\dots \frac{e_0^2 g_{\mu\nu}}{q^2} \dots \rightarrow \dots \frac{Z_3 e_0^2 g_{\mu\nu}}{q^2} \dots,$$

we will have the *charge renormalization*

$$e = \sqrt{Z_3} e_0.$$

- ▶ Considering a scattering process with nonzero  $q^2$  in leading order  $\alpha_0$ ,

$$\frac{ig_{\mu\nu}}{q^2} \left( \frac{e_0^2}{1 - \Pi(q^2)} \right) \approx \frac{-ig_{\mu\nu}}{q^2} \left( \frac{e_0^2}{1 - [\Pi_2(q^2) - \Pi_2(0)]} \right).$$

- ▶ The quantity in  $(\dots)$  has an interpretation of a  $q^2$ -dependent electric charge, so we have

$$\alpha_0 \rightarrow \alpha(q^2) = \frac{e_0^2/4\pi}{1 - \Pi(q^2)} \approx \frac{\alpha_0}{1 - [\Pi_2(q^2) - \Pi_2(0)]}.$$

## The divergent polarization $\Pi_2$

In order  $e_0^2$ , the polarization is *badly* ultraviolet divergent:

$$\begin{aligned} i\Pi_2^{\mu\nu}(q) &= -(-ie_0)^2 \int \frac{d^4k}{(2\pi)^4} \text{tr} \left[ \gamma^\mu \frac{i(\not{k} + m)}{k^2 - m^2} \gamma^\nu \frac{i(\not{k} + \not{q} + m)}{(k+q)^2 - m^2} \right] \\ &= -4e_0^2 \int \frac{d^4k}{(2\pi)^3} \frac{k^\mu (k+q)^\nu + k^\nu (k+q)^\mu - g^{\mu\nu} (k \cdot (k+q) - m^2)}{(k^2 - m^2) \left( (k+q)^2 - m^2 \right)}. \end{aligned}$$

Introducing a Feynman parameter, we combine the denominator as

$$\frac{1}{(k^2 - m^2) \left( (k+q)^2 - m^2 \right)} = \int_0^1 dx \frac{1}{(l^2 + x(1-x)q^2 - m^2)^2},$$

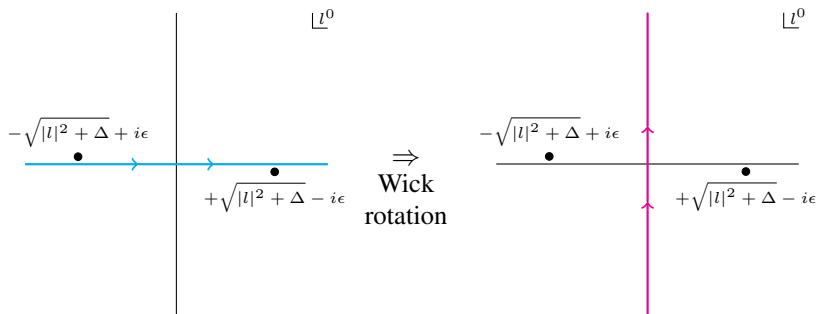
where  $l \equiv k + xq$ . In terms of  $l$ , the numerator will be

$$\begin{aligned} \text{Numerator} &= 2l^\mu l^\nu - g^{\mu\nu} l^2 - 2x(1-x)q^\mu q^\nu \\ &\quad + + g^{\mu\nu} (m^2 + x(1-x)q^2) + (\text{terms linear in } l). \end{aligned}$$

# Wick rotation

- ▶ The momentum (contour) integral in the Minkowski metric space-time,  $g^{\mu\mu} = (+1, -1, -1, -1)$ , is difficult.
- ▶ So Wick suggested a rotation of the time coordinate  $t \rightarrow -ix^0$ , i.e., the Euclidean four-vector product:

$$x^2 = t^2 - |\mathbf{x}|^2 \rightarrow -(x^0)^2 - |\mathbf{x}|^2 = -|x_E|^2.$$



## Dimensional regularization

- ▶ For sufficiently small dimension  $d$ , any loop-momentum integral will converge.
- ▶ Therefore the Ward identity can be proved.
- ▶ The final expression for  $\Pi_2$  should have well-defined limit as  $d \rightarrow 4$ .
- ▶ A typical  $d$ -dimensional Euclidean space integral reads

$$\int \frac{d^d l_E}{(2\pi)^2} \frac{1}{(l_E^2 + \Delta)^2} = \int \frac{d\Omega_d}{(2\pi)^d} \cdot \int_0^\infty dl_E \frac{l_E^{d-1}}{(l_E^2 + \Delta)^2},$$

where the area of a unit sphere in  $d$  dimensions is identified as

$$\int d\Omega_d = \frac{2(\sqrt{\pi})^d}{\Gamma(\frac{d}{2})}$$

and the second factor of the integral becomes

$$\int_0^\infty dl_E \frac{l_E^{d-1}}{(l_E^2 + \Delta)^2} = \frac{1}{2} \left(\frac{1}{\Delta}\right)^{2-\frac{d}{2}} \frac{\Gamma(2-\frac{d}{2}) \Gamma(\frac{d}{2})}{\Gamma(2)}.$$

## Dimensional regularization

- ▶ Near  $d = 4$ , define  $\epsilon = 4 - d$ , and use the approximation

$$\Gamma\left(2 - \frac{d}{2}\right) = \Gamma\left(\frac{\epsilon}{2}\right) = \frac{2}{\epsilon} - \gamma + \mathcal{O}(\epsilon),$$

where  $\gamma \approx 0.5772$  is the Euler-Mascheroni constant.

- ▶ The integral is then

$$\int \frac{d^4 l_E}{(2\pi)} \frac{1}{(l_E^2 + \Delta)^2} \xrightarrow{\epsilon \rightarrow 0} \frac{1}{(4\pi)^2} \left( \frac{2}{\epsilon} - \log \Delta - \gamma + \log(4\pi) + \mathcal{O}(\epsilon) \right)$$

- ▶ In  $d$  dimensions,  $g_{\mu\nu} g^{\mu\nu} = d$ .
- ▶ Thus,  $l^\mu l^\nu$  of the numerators in the integrands should be replaced by  $\frac{1}{d} l^2 g^{\mu\nu}$ .
- ▶ The Dirac matrices in  $d = 4 - \epsilon$  should be modified to

$$\begin{aligned}\gamma^\mu \gamma^\nu \gamma_\mu &= -(2 - \epsilon) \gamma^\nu \\ \gamma^\mu \gamma^\nu \gamma^\rho \gamma_\mu &= 4g^{\nu\rho} - \epsilon \gamma^\nu \gamma^\rho \\ \gamma^\mu \gamma^\nu \gamma^\rho \gamma^\sigma \gamma_\mu &= -2\gamma^\sigma \gamma^\rho \gamma^\nu + \epsilon \gamma^\nu \gamma^\rho \gamma^\sigma.\end{aligned}$$

## Evaluation of $\Pi_2$

The unpleasant terms with  $l^2$  in the numerator gives

$$\int \frac{d^d l_E}{(2\pi)^2} \frac{\left(-\frac{2}{d} + 1\right) g^{\mu\nu} l_E^2}{(l_E^2 + \Delta)^2} = \frac{1}{(4\pi)^{d/2}} \Gamma\left(2 - \frac{d}{2}\right) \left(\frac{1}{\Delta}\right)^{2 - \frac{d}{2}} (-\Delta g^{\mu\nu}).$$

Evaluating remaining terms and using  $\Delta = m^2 - x(1-x)q^2$  are

$$i\Pi_2^{\mu\nu}(q) = (q^2 g^{\mu\nu} - q^\mu q^\nu) \cdot i\Pi_2(q^2),$$

where

$$\begin{aligned} \Pi_2(q^2) &= -\frac{8e_0^2}{(4\pi)^{d/2}} \int_0^1 dx x(1-x) \frac{\Gamma\left(2 - \frac{d}{2}\right)}{\Delta^{2-d/2}} \\ &\xrightarrow{\epsilon \rightarrow 0} -\frac{2\alpha_0}{\pi} \int_0^1 dx x(1-x) \left(\frac{2}{\epsilon} - \log \Delta - \gamma + \log(4\pi)\right). \end{aligned}$$

This satisfies the Ward identity,  
but it is still logarithmically *divergent*.

# The electron charge shift

- ▶ In order  $\alpha_0$  the electric charge shift is computed as

$$\frac{e^2 - e_0^2}{e_0^2} = \delta Z_3 \rightarrow \Pi_2(0) \approx -\frac{2\alpha_0}{3\pi\epsilon} \xrightarrow{\epsilon \rightarrow 0} \infty.$$

- ▶ The *bare* charge is infinitely larger than the observed charge.
- ▶ This bare charge is *not* observable.
- ▶ What can be observed is

$$\alpha(q^2) \approx \frac{\alpha_0}{1 - [\Pi_2(q^2) - \Pi_2(0)]} \equiv \frac{\alpha_0}{1 - \hat{\Pi}_2(q^2)},$$

where the difference

$$\hat{\Pi}_2(q^2) = -\frac{2\alpha_0}{\pi} \int_0^1 dx x (1-x) \log \left( \frac{m^2}{m^2 - x(1-x)q^2} \right),$$

which is independent of  $\epsilon$  in the limit  $\epsilon \rightarrow 0$ .

## Classical picture

In nonrelativistic limit, the attractive Coulomb potential reads

$$V(\mathbf{x}) = \int \frac{d^3q}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{x}} \frac{-e^2}{|\mathbf{q}|^2 \left(1 - \hat{\Pi}_2(-|\mathbf{q}|^2)\right)}.$$

Expanding  $\hat{\Pi}_2$  for  $|q^2| \ll m^2$ , we obtain

$$\begin{aligned} V(\mathbf{x}) &= -\frac{\alpha}{r} - \frac{4\alpha^2}{15m^2} \delta^{(3)}(\mathbf{x}) \\ &= \frac{ie^2}{(2\pi)^2} \left(\frac{1}{r}\right) \int_{-\infty}^{\infty} dQ \frac{Qe^{iQr}}{Q^2 + \mu^2} \left(1 + \hat{\Pi}_2(-Q^2)\right). \end{aligned}$$

When  $r^{-1} \gg m (= \lambda_C)$ , we can approximate the potential as

$$V(r) = -\frac{\alpha}{r} \left(1 + \frac{\alpha}{4\sqrt{\pi}} \frac{e^{-2mr}}{(mr)^{3/2}} + \dots\right)$$

→ *vacuum polarizations*—virtual dipoles screening.



## Short-distance limit

For small distance or  $-q^2 \gg m^2$ , we have

$$\begin{aligned}\hat{\Pi}_2(q^2) &\approx \frac{2\alpha}{\pi} \int_0^1 dx x(1-x) \\ &\quad \times \left[ \log\left(\frac{-q^2}{m^2}\right) + \log(x(1-x)) + \mathcal{O}\left(\frac{m^2}{q^2}\right) \right] \\ &= \frac{\alpha}{3\pi} \left[ \log\left(\frac{-q^2}{m^2}\right) - \frac{5}{3} + \mathcal{O}\left(\frac{m^2}{q^2}\right) \right].\end{aligned}$$

The effective coupling constant in this limit is therefore

$$\alpha_{\text{eff}}(q^2) = \frac{\alpha}{1 - \frac{\alpha}{3\pi} \log\left(\frac{-q^2}{Am^2}\right)}, \quad A = \exp(5/3).$$

The effective electric charge becomes much larger at small distances, as we penetrate the screening cloud of virtual electron-positron pairs.

# Renormalized quantum electrodynamics

The original QED Lagrangian is

$$\mathcal{L} = \bar{\psi} (i\not{\partial} - m_0) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - e_0 \bar{\psi} \gamma^\mu \psi A_\mu.$$

The renormalization scheme modifies the electron and photon propagators as

$$\begin{aligned} \text{---} \bullet \text{---} &= \frac{iZ_2}{\not{p} - m} + \dots \\ \text{---} \bullet \text{---} &= \frac{-iZ_3 g_{\mu\nu}}{q^2} + \dots \end{aligned}$$

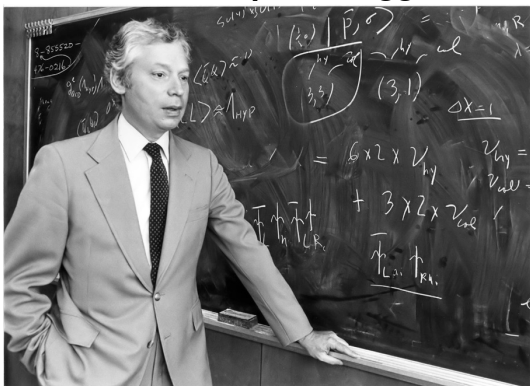
To absorb  $Z_2$  and  $Z_3$  into  $\mathcal{L}$ , we substitute  $\psi \rightarrow Z_2^{1/2} \psi$  and  $A^\mu \rightarrow Z_3^{1/2} A^\mu$ . The Lagrangian becomes

$$\mathcal{L} = Z_2 \bar{\psi} (i\not{\partial} - m_0) \psi - \frac{1}{4} Z_3 F_{\mu\nu} F^{\mu\nu} - e_0 Z_2 Z_3^{1/2} \bar{\psi} \gamma^\mu \psi A_\mu,$$

with the physical electric charge

$$e_0 Z_2 Z_3^{1/2} = e Z_1.$$

## Renormalization Group and Higgs mechanism



It seems that scientists are often attracted to beautiful theories in the way that insects are attracted to flowers. – Steven Weinberg.

# Cutoff problem

- ▶ Our coupling constant (fine-structure constant) is not a constant, but it is running as

$$\alpha(q^2) \propto (\log(-q^2))^{-1}.$$

as  $q \rightarrow \infty$ , the ultraviolet divergence.

- ▶ The divergences are removed by the physical parameter-fitting ( $m$  and  $e$ ) from the *experiments*.
- ▶ The *ad hoc* Pauli-Villars cutoff  $\Lambda$ , for example, has been introduced for eliminating very large momentum contributions from the theory.
- ▶ In other words, the small distance scale physics are eliminated and replaced by those parameters.
- ▶ However, we do *not* have any precise information for short distance physics.

## Renormalization group flows

- ▶ For a scaling parameter  $b < 1$ , but  $b \approx 1$ , we rescale distances and momenta in according to

$$k' = k/b, \quad x' = xb,$$

so that the variable  $k'$  is integrated over  $|k'| < \Lambda$ .

- ▶ The field is also rescaled as

$$\phi' = \left[ b^{2-d} (1 + \Delta Z) \right]^{1/2} \phi.$$

- ▶ Our model system with an effective Lagrangian

$$\int d^d x \mathcal{L}_{\text{eff}} = \int d^d x' \left[ \frac{1}{2} (\partial_{\mu'} \phi')^2 + \frac{1}{2} m'^2 \phi'^2 + \frac{1}{4!} \lambda' \phi'^4 \right]$$

will yield the rescaled parameters

$$m'^2 = (m^2 + \Delta m)^2 (1 + \Delta Z)^{-1} b^{-2} \longrightarrow m^{*2},$$

$$\lambda' = (\lambda + \Delta \lambda) (1 + \Delta Z)^{-2} b^{d-4} \longrightarrow \lambda^*.$$

## Renormalization scale

We introduce an arbitrary momentum scale  $M$  (*renormalization scale*) and impose the renormalization condition at a spacelike momentum  $p$  with  $p^2 = -M^2$ . Then we may have

$$\langle \Omega | \phi_0(p) \phi_0(-p) | \Omega \rangle = \frac{iZ}{p^2} \quad \text{at } p^2 = -M^2.$$

The  $n$ -point Green's function is defined by

$$G^{(n)}(x_1, \dots, x_n) = \langle \Omega | \hat{T} \phi(x_1) \cdots \phi(x_n) | \Omega \rangle_{\text{connected}}.$$

If we shift  $M$  by  $\delta M$ , then correspondingly we obtain

$$\begin{aligned} M &\rightarrow M + \delta M, \\ \lambda &\rightarrow \lambda + \delta \lambda, \\ \phi &\rightarrow (1 + \delta \eta) \phi, \\ G^{(n)} &\rightarrow (1 + n \delta \eta) G^{(n)}. \end{aligned}$$

## The Callan-Symanzik equation

If we think of  $G^{(n)}$  as a function of  $M$  and  $\lambda$ , we can write as

$$dG^{(n)} = \frac{\partial G^{(n)}}{\partial M} \delta M + \frac{\partial G^{(n)}}{\partial \lambda} \delta \lambda = n \delta \eta G^{(n)}.$$

It is convenient to introduce dimensionless parameters

$$\beta \equiv \frac{M}{\delta M} \delta \lambda; \quad \gamma \equiv -\frac{M}{\delta M} \delta \eta,$$

so to arrive at the equation

$$\left[ M \frac{\partial}{\partial M} + \beta \frac{\partial}{\partial \lambda} + n \gamma \right] G^{(n)}(x_1, \dots, x_n; M, \lambda) = 0.$$

Since  $G$  is renormalized,  $\beta$  and  $\gamma$  cannot depend on  $\Lambda$ , these functions cannot depend on  $M$ . We concluded that

$$\left[ M \frac{\partial}{\partial M} + \beta(\lambda) \frac{\partial}{\partial \lambda} + n \gamma(\lambda) \right] G^{(n)}(\{x_i\}; M, \lambda) = 0.$$

This is known as the *Callan-Symanzik equation*.

## Solutions of the Callan-Symanzik equations

The generic form of the two-point Green's function is

$$\begin{aligned} G^{(2)}(p) &= \text{---} + \text{loops} + \text{---}\times\text{---} + \dots \\ &= \frac{i}{p^2} + \frac{i}{p^2} \left( A \log \frac{\Lambda^2}{-p^2} + \text{finite} \right) + \frac{i}{p^2} (ip^2 \delta_Z) \frac{i}{p^2} + \dots \end{aligned}$$

The  $M$  dependence comes entirely from the counterterm  $\delta_Z$ .  
By neglecting the  $\beta$  term, we find

$$\gamma = \frac{1}{2} M \frac{\partial}{\partial M} \delta_Z.$$

Because the counterterm must be

$$\delta_Z = A \log \frac{\Lambda^2}{M^2} + \text{finite},$$

to lowest order we have

$$\gamma = A.$$



# Solutions of the Callan-Symanzik equations

In a similar manner we obtain

$$\beta(\lambda) = M \frac{\partial}{\partial M} \left( -\delta_\lambda + \frac{1}{2} \lambda \sum_i \delta_{Z_i} \right).$$

Since

$$\delta_\lambda = -B \log \frac{\Lambda^2}{M^2} + \text{finite},$$

to lowest order we have

$$\beta(\lambda) = -2B - \lambda \sum_i A_i.$$

So  $\beta$  and  $\gamma$  are not depending on the renormalization scale  $M$ .

# The QED solutions

There is a  $\gamma$  term for each field and a  $\beta$  term for each coupling.

$$\left[ M \frac{\partial}{\partial M} + \beta(e) \frac{\partial}{\partial e} + n\gamma_2(e) + m\gamma_3(e) \right] G^{(n,m)}(\{x_i\}; M, e) = 0,$$

where  $n$  and  $m$  are, respectively, the number of electron and photon fields in  $G^{(n,m)}$  and  $\gamma_2$  and  $\gamma_3$  are the rescaling functions of the electron and photon fields.

- ▶  $\beta \propto$  the shift in the coupling constant and
- ▶  $\gamma \propto$  the shift in the field renormalization,

when the renormalization scale  $M$  is increased.

Using the methods described before we obtain, to lowest order,

$$\beta(e) = \frac{e^3}{12\pi^2}, \quad \gamma_2(e) = \frac{e^2}{16\pi^2}, \quad \gamma_3(e) = \frac{e^2}{12\pi^2}.$$

## Running coupling in QED

If  $M \sim \mathcal{O}(m)$ , then the renormalized value  $e_r$  is close to  $e$ . For the static potential  $V(\mathbf{x})$ , we have the Callan-Symanzik equation

$$\left[ M \frac{\partial}{\partial M} + \beta(e_r) \frac{\partial}{\partial e_r} \right] V(q; M, e_r) = 0.$$

Since the dimension of the Fourier transformed potential  $V(q)$  is  $(\text{mass})^{-2}$ , we trade  $M$  and  $q$ :

$$\left[ q \frac{\partial}{\partial q} - \beta(e_r) \frac{\partial}{\partial e_r} + 2 \right] V(q; M, e_r) = 0.$$

The potential will be in the form

$$V(q, e_r) = \frac{1}{q^2} \mathcal{V}(\bar{e}(q; e_r)),$$

where  $\bar{e}(q)$  is the solution of the renormalization group equation

$$\frac{d}{d \log \left( \frac{q}{M} \right)} \bar{e}(q; e_r) = \beta(\bar{e}), \quad \bar{e}(M; e_r) = e_r.$$

## Running coupling in QED

Since the potential, in leading order, is

$$V(q) \approx \frac{e^2}{q^2},$$

we can identify  $\mathcal{V}(\bar{e}) = \bar{e}^2 + \mathcal{O}(\bar{e}^4)$ . We immediately obtain

$$V(q, e_r) = \frac{\bar{e}^2(q; e_r)}{q^2}.$$

By solving the renormalization group equation for  $\bar{e}$  and using  $\beta(e) = e^3/12\pi^2$ , we find

$$\frac{12\pi^2}{2} \left( \frac{1}{e_r^2} - \frac{1}{\bar{e}^2} \right) = \log \frac{q}{M}.$$

This simplifies to

$$\bar{e}^2(q) = \frac{e_r^2}{1 - (e_r^2/6\pi^2) \log(q/M)}.$$

## Running coupling in QED

By setting  $M^2 = \exp(5/3) m^2$  and  $e_r \approx e$ , with  $\alpha = \frac{e^2}{4\pi}$ , we reproduce

$$\bar{\alpha}(q) = \frac{\alpha}{1 - \left(\frac{\alpha}{3\pi}\right) \log\left(-\frac{q^2}{Am^2}\right)}, \quad A = \exp(5/3).$$

There is a renormalization scale  $M$ , which replaces the *ad hoc* Pauli-Villars cutoff  $\Lambda$ .

★ The electric charge is the result of the virtual vacuum polarization by the existence of interacting electron.

## Evolution of mass

If  $\mathcal{L}_M$  is the massless Lagrangian renormalized at the scale  $M$ , the new massive Lagrangian will be in the form

$$\mathcal{L} = \mathcal{L}_M - \frac{1}{2}m^2\phi_M^2.$$

We treat mass term by replacing  $m^2 \rightarrow \rho_m M^2$  and expanding the Lagrangian about the free field one  $\mathcal{L}_0$  reads:

$$\mathcal{L} = \mathcal{L}_0 - \frac{1}{2}\rho_m M^2\phi_M^2 - \frac{1}{4}\lambda M^{4-d}\phi_M^4,$$

which is the Landau-Ginzburg theory for **ferromagnetism!**  
The Callan-Symanzik equation will give us

$$\beta = -(4-d)\lambda + \frac{3\lambda^2}{16\pi^2}$$

and for the condition  $\beta = 0$

$$\lambda_* = \frac{16\pi^2}{3}(4-d).$$

## Mass from a phase transition

The corresponding renormalization group equation would be

$$\frac{d}{d \log p} \bar{\rho}_m = [-2 + \gamma_{\phi^2}(\bar{\lambda})] \bar{\rho}_m.$$

The solution is, for the coupling  $\bar{\lambda} = \lambda_*$ ,

$$\bar{\rho}_m = \rho_m \left( \frac{M}{p} \right)^{2 - \gamma_{\phi^2}(\lambda_*)}.$$

The solution gives a nontrivial relation

$$\xi \sim \rho_m^{-\nu},$$

where the exponent  $\nu$  is given formally by the expression

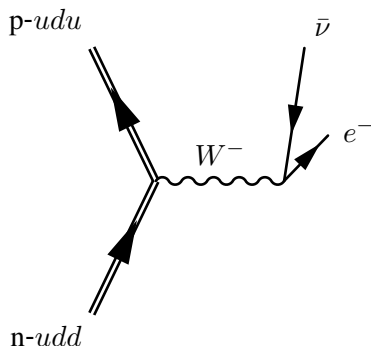
$$\nu = \frac{1}{2 - \gamma_{\phi^2}(\lambda_*)},$$

explicitly, the Wilson-Fisher relation in statistical physics

$$\nu^{-1} = 2 - \frac{1}{3}(4 - d).$$

## $\beta$ -decay

- ▶ The radioactivity discovered by Becquerel is  $\beta$ -decay.
- ▶ This is the neutron decay process:  $n \rightarrow p + \bar{\nu} + e^{-}$ ,



- ▶  $\beta$ -decay violates the  $CP$  gauge symmetry.
- ▶ A non-Abelian gauge theory,  $SU(2) \times U(1)$ , is required.
- ▶ **No** massive bosons and fermions are allowed to satisfy the  $SU(2) \times U(1)$  gauge symmetry.



## Massless Dirac field

Let a Dirac field  $\psi$  is *massless*, but it is a doublet of Dirac fields

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}.$$

The kinetic energy term may be written as

$$\mathcal{L} = \psi_L^\dagger i\bar{\sigma} \cdot \partial \psi_L + \psi_R^\dagger i\sigma \cdot \partial \psi_R.$$

The left-handed fields may be coupled to a *non-Abelian gauge field*  $A^a_\mu$ , which defines the corresponding field tensor as

$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + gf^{abc} A^b_\mu A^c_\nu,$$

through the minimal substitution  $D_\mu = \partial_\mu - igA^a_\mu t^a_r$  to yield

$$\mathcal{L} = \bar{\psi} i\gamma^\mu \left( \partial_\mu - igA^a_\mu t^a_r \left( \frac{1 - \gamma^5}{2} \right) \right) \psi.$$

Here  $t^a$  follows the commutation relation  $[t^a, t^b] = if^{abc}t^c$ .

## Higgs coupling

- ▶ We may assign the left-handed components of quarks and leptons to doublets of an  $SU(2)$  gauge symmetry like

$$Q_L = \begin{pmatrix} u \\ d \end{pmatrix}, \quad L_L = \begin{pmatrix} \nu \\ e \end{pmatrix}.$$

- ▶ Since these fields are massless, we introduce a  $U(1)$  gauge symmetric field  $\phi$ , which is known as **Higgs field**,

$$D_\mu \phi = (\partial_\mu - igA_\mu^a \tau^a) \phi,$$

where  $\tau^a = \frac{\sigma^a}{2}$ .

- ▶ If the vacuum expectation value of  $\phi$  has *broken* symmetry

$$\langle \phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix},$$

then the gauge boson masses arise from

$$|D_\mu \phi|^2 = \frac{1}{2} g^2 (0 \ v) \tau^a \tau^b \begin{pmatrix} 0 \\ v \end{pmatrix} A_\mu^a A^{b\mu} + \dots$$

# Higgs mechanism

After a symmetrization we find the mass term

$$\Delta\mathcal{L} = \frac{g^2 v^2}{8} A^a_{\mu} A^{a\mu}.$$

All three gauge bosons receive the mass  $m_A = \frac{gv}{2}$ .

When Higgs field transforms under  $\phi$  follows  $SU(2) \times U(1)$  gauge symmetry,

$$\phi \rightarrow e^{i\alpha^a \tau^a} e^{i\beta/2} \phi,$$

two bosons acquire masses and one boson remains massless:

$$W^{\pm} \quad m_{W^{\pm}} = \frac{gv}{2},$$

$$Z^0 \quad m_{Z^0} = \sqrt{g^2 + g'^2} \frac{v}{2},$$

$$A \quad m_A = 0.$$

# Higgs mechanism

Similarly, the electron fields  $\bar{e}_L$  and  $e_R$  follows the mass term

$$\Delta\mathcal{L}_e = -\frac{1}{\sqrt{2}}\lambda_e v \bar{e}_L e_R + \text{h.c.} + \dots,$$

by which the massless electron acquires mass  $m_e = \frac{1}{\sqrt{2}}\lambda_e v$ .

★ The electron mass is the result of the spontaneous continuous symmetry breaking of Higgs field.

# Summary

- ▶ Relativistic quantum field theory
  - ▶ Intrinsic spin
  - ▶ Pauli's principle
- ▶ Field quantization
  - ▶ Klein-Gordon fields
  - ▶ Dirac fields
  - ▶ Propagator and causality
- ▶ Interacting field theory
  - ▶  $S$ -matrix theory
  - ▶ Perturbation expansion
  - ▶ Photon as gauge particle
  - ▶ Elementary processes
- ▶ Renormalization  $\Rightarrow$  Physically observed parameters:
  - ▶ spin-magnetic momentum (definite),
  - ▶ electron mass (cancelled divergences),
  - ▶ electric charge of electron (leaving divergence).
- ▶ Renormalization Group and Higgs mechanism.
  - ▶ The origin of the charge of electron,
  - ▶ The origin of the electron mass.

# James Clerk Maxwell



The work of James Clerk Maxwell changed the world forever.  
by Albert Einstein